JOCKEY LICENSING POLICY

Applicable to all licensed jockeys and persons applying for a jockey licence, permit or approval to ride.

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1. PRELIMINARY

1.1 Purpose and Authorising Provision

This Racing Victoria Licensing Policy (**Policy**) sets out the requirements that a person must satisfy to be granted a licence to ride under LR 32B and LR 33A and the conditions upon which a person may hold a licence, permit or approval to ride.

An updated copy of the Rules of Racing (**Rules**) can be located at the Racing Victoria Website (www.racingvictoria.com.au).

1.2 Commencement and Transitional Provisions

These Licence Rules came into operation and effect on and from 1 July 2019.

Unless otherwise provided for, all riding licences, permits, approvals, authorisations and any other rights or privileges granted under or pursuant to the Rules prior to 1 July 2019 are deemed to continue in full force and effect until their expiration.

2. DEFINITIONS AND INTERPRETATION

2.1 Definitions

In this Policy:

"Applicant" means the applicant for a licence to ride pursuant to this Policy.

"Apprentice Team" means the team employed by Racing Victoria to manage and administer the Apprentice Jockey Training Program (AJTP),

"AR" means an Australian Rule of Racing.

'Official Trials Permit (flat)' means a permit granted by the Rider Skills Panel to Applicants who have satisfied all relevant requirements of the Jockey Licensing Policy that permits them to ride in Official Trials (flat) to satisfy the final skills requirement for the granting of a Jockey licence.

'Official Trials Permit (jump)' means a permit granted by the Rider Skills Panel to Applicants who have satisfied all relevant requirements of the Jockey Licensing Policy that permits them to ride in Official Trials (flat and jump) to satisfy the final skills requirement for the granting of a Jockey licence.

"Licensing Panel" has the same meaning as in the Racing Victoria Rules of Racing.

"LR" means a Local Rule of Racing of Racing Victoria.

"Policy" means the Jockey Licensing Policy pursuant to the Rules of Racing of Racing Victoria.

"Rider Skills Panel" has the same meaning as in the Racing Victoria Rules of Racing

2.2 Interpretation

Unless the context requires to the contrary, words and expressions used in this Policy have the meaning given to them in the Rules.

3. GENERAL REQUIREMENTS FOR THE GRANT OF JOCKEY LICENCES

In addition to any specific requirements set out in this Policy or in the Rules, it is a requirement for the grant of any licence to ride that the person:

(a) **Experience:** has the practical, pre-requisite experience reasonably required to carry on the vocation of a jockey and to ride at the level permitted by the relevant licence.

(b) **Skill:** has the ability necessary to carry on the vocation of a jockey and passes the various riding assessments conducted by Racing Victoria (for clarification this includes assessment by the Rider Skills Panel and/or Jumps Review Panel).

(c) **Age:** is at least 16 years of age.

(d) **Suitability:** has satisfied Racing Victoria that he or she is suitable to hold a licence or registration in line with the 'Suitability Policy', taking into account any fact(s) or matter(s) that it considers appropriate.

(e) **Health and Fitness:** has the necessary health and fitness to hold a licence to ride, in line with the RV 'Medical Standards for Fitness to Ride' by completing:
- (i) a medical assessment:
  - i. signed by a registered medical practitioner which certifies that the person meets the requirements of the "RV Medical Standards of Fitness to Ride" ; and
  - ii. approved by the RV Chief Medical Officer (**CMO**);
- (ii) a Cognitive (Brain Function) test;
- (iii) an Exercise Research Australia (body weight) assessment:
  - (i) Claim: If the Applicant will be eligible to claim an allowance when race-riding, at the point of application, he or she ought present at a body weight that enables them to utilise their full allowance in races when engaged to ride on the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence.
  - (ii) Non-claim: If the Applicant is not eligible to claim an allowance when race-riding, at the point of application, he or she should present at a body weight of no more than the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence;
  - (iii) For the avoidance of doubt RV can conduct a weigh in process at the time of application but also at any point after the application has been submitted. Jockeys can also risk having their licence revoked should they not be able to weigh in at or under the minimum top-weight of their licence category or the minimum top weight taking into account their claim if they are an apprentice jockey during the licence period.
- (iv) if the Applicant has previously received a Workcover permanent impairment payout, the Applicant must satisfy RV of their recovery through:
  - i. CMO assessment; and/or
  - ii. CMO requested additional medical assessments and information; and
- (v) any further medical assessments, as determined by the CMO.

Note: Applicants who have received a career ending injury payment from the industry insurance company are not eligible to apply to become a jockey.

(f) **Inherent Requirements:** has the inherent physical and mental traits required to carry on the vocation of a jockey, including the ongoing health and fitness requirements listed in e (i) to e (v) above.

(g) **Intent:** intends to ride in Victorian races on a regular basis.

(h) **Prescribed Forms**: completes the relevant forms, together with payment of the annual licence fee (if applicable), including:
  (i) the Jockey Licence Application form, including its requirements (refunds are not provided);
  (ii) Declarations: the Applicant must acknowledge and agree to be bound by this Policy and the Rules, and adhere to the specific "Licence Conditions" outlined in this Policy;
  (iii) the Declaration Regarding Betting form; and
  (iv) the Racing Victoria Code of Practice.

(i) **Assessments**: has satisfied the following assessments:
  (i) National Police Record Certificate;
  (ii) Racing Disciplinary Record;
  (iii) Race Riding Record;
  (iv) Interview with Racing Victoria (where required); and
  (v) Knowledge of the Rules of Racing relating to Jockeys.

3.1 Categories of Licenses

An application for the grant of a Jockey licence may be made for the following categories:

(a) Jockey;

(b) Jumps Jockey 'A';

(c) Jumps Jockey 'B';

(d) Picnic Jockey;

(e) Apprentice Jockey;

(f) visiting equivalent of 3.1(a) to (e) inclusive; and

(g) any other category as Racing Victoria allows from time to time.

3.2 Validity of Licenses

Once granted, Jockey licenses are valid from 1 August to 31 July each racing season.

3.3 Visiting Licenses

In addition to satisfying the "General Requirements for the Grant of Jockey Licenses" as outlined in part 3 of this Policy or in the Rules, it is a requirement for the grant of any visiting licence to ride that:

(a) the person holds a current and active Jockey licence issued by his or her home-based Racing Authority;

(b) the licence issued by the person's home-based Racing Authority must be identical to the riding level permitted by the licence category for which has been applied for within the application to Racing Victoria; and

(c) if the person's home-based licence expires, is cancelled, revoked or becomes inactive for any reason, any licence issued by Racing Victoria will cease immediately and as a consequence, he or she will not be licensed to ride in any capacity within Victoria.

3.4 The Directors

The Directors of Racing Victoria may, in their discretion, impose additional licence conditions on any licence at any time. In addition, it may choose to exclude any of the requirements prescribed within this policy.

The Directors may, from time to time, prescribe educational and experience qualifications, or any other requirement as determined by Racing Victoria, which must be attained as a precondition to the grant of a licence to ride at race meetings.

Should an Applicant wish to seek relief from any requirement, the Applicant must apply in writing to Racing Victoria and outline the relief sought, and reasons for the request for relief.

The Directors, without giving any reasons, may:

(a) refuse to grant a licence to ride; or

(b) grant a licence subject to such terms and conditions as the Directors think fit, including, but not limited to, restrictions regarding duration, locality or both.

3.5 Revocation

The Directors may at any time revoke a licence to ride granted by them, including without limitation where the holder of the licence:

(a) is not actively using the licence; or

(b) ceases to meet the requirements to be granted a licence in accordance with this policy or the Rules.

3.6 Ineligibility

A person may not be granted or be able to hold a licence if that person:

(a) is unable to meet all of the requirements prescribed within this policy, or any other requirements as determined by Racing Victoria;

(b) is licensed or registered as, or carries on the vocation of a bookmaker;

(c) is the holder of an office or position specified in LR 52 of the Rules; or

(d) has previously been assessed by an Independent Medical Assessor and/or Medical Panel (appointed by Racing Victoria's Workers Compensation Insuring Agent) and have a deemed whole person impairment of 15% or greater.

4. GENERAL LICENCE CONDITIONS FOR JOCKEYS

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following the grant of a licence, the conditions set out below will apply:

(a) **Permission to Ride:** The licence-holder may ride horses in:
- (i) Track work;
- (ii) Jump outs;
- (iii) Official flat trials;
- (iv) Official jumps trials (Jumps licences only);
- (v) Races as detailed within the **"Licence conditions"** section of this Policy for each category of licence.

(b) **Disciplinary Riding Record:** A licence holder that accumulates more than five (5) careless riding penalties within any six (6) month-period may be referred by Stewards to the Rider Skills Panel or Licensing Panel whereby his or her licence or permit to race ride may be reviewed. In determining referrals, Stewards will take into account, amongst other things, the quantity of rides by a licence-holder within any six (6) month period.

(c) **Incapacitation:** If a licence-holder becomes incapacitated whilst licensed to ride and the incapacitation is subject to a Work Cover claim of:
- (i) Partially incapacitated: **less** than six (6) months, the licence-holder ought **not**:
  - (i) return to any form of riding (such as track work or jump outs) until he or she provides a Work Cover certification for "modified" duties; or
  - (ii) return to riding in official trials or races until he or she provides a Work Cover certification for "pre-injury" duties.

  Note: if a licence-holder is partially incapacitated at the end of the current licensing period and cannot satisfy the "General Requirements for Grant of Jockey Licences" for the next licensing period (in particular, the requirements relating to "Health and Fitness"), the licence-holder may be granted a licence for the next licensing period that expires within six (6) months from the date of the incident that has resulted in his or her partial incapacitation. This licence will be granted on the provision that the licence-holder can satisfy all other requirements outlined within this policy;
- (ii) Long-term incapacitated: **more** than six (6) months, the licence-holder ought **not**:
  - (i) return to any form of riding (such as track work or jump outs) until he or she provides a Work Cover certification for "modified" duties;
  - (ii) return to riding in official trials or races until he or she provides a Work Cover certification for "pre-injury" duties and a satisfactory medical assessment signed by Racing Victoria's CMO or a medical officer as approved by Racing Victoria;
  - (iii) return to race-riding until he or she completes a number of jumps outs as determined by, and to the satisfaction of, the Rider Skills Panel; and
  - (iv) return to race-riding until he or she completes a number of official trials as determined by, and to the satisfaction of, the Rider Skills Panel.

  Note: if a licence-holder has been long-term incapacitated for **less** than twelve (12) months at the end of the current licensing period, the Licensing Panel have the discretion to grant the licence-holder a licence for the next licensing period for the purpose of completing jump outs and/or official trials to satisfy requirement 4(c)(ii)((iii) and (iv)) of this

Policy. The licence will only be granted on provision that the licence-holder can satisfy all other requirements outlined in this Policy and the licence-holder has provided a Work Cover certification for "modified duties". If granted, the licence will expire three (3) months from the date the Work Cover certification for "modified duties" was issued. If a licence-holder is long-term incapacitated for **more** than twelve (12) months the licence-holder is not eligible to be granted a licence until all requirements within this policy are satisfied;

(d) **Permission to Train:**

Restricted Trainer: A Jockey licence-holder is eligible to make application for the grant of a Trainer's licence, subject to meeting the Trainer licensing requirements set out under the Rules and Racing Victoria's Trainer Licensing Policy. If a Jockey licence-holder is granted a Restricted Trainer Licence and is eligible to enter horses for racing (the **Jockey-Trainer**), the Jockey-Trainer may hold a Restricted Trainer Licence, subject to:

(i) the Jockey-Trainer, who trains a horse engaged in a race, not riding any other horse in the race without the specific approval of the Stewards, and may be required to ride his or her own horse if a shortage of riders exists;
(ii) the Jockey-Trainer not accepting any rides in circumstances where there is more than one horse in any one race that is trained by them;
(iii) the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from; (a) the date of the horse leaving his or her stables, or (b) the date of the horse being transferred from his or her stable return;
(iv) the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to his or her Jockey licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Trainer for the same or such other period as the Stewards or Racing Victoria determine;
(v) the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to his or her Trainer licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or Racing Victoria determine; and
(vi) any other term or condition or restriction proposed by Racing Victoria in its absolute discretion.

Permission to Train – Pre-Trainer: A Victorian Jockey licence-holder is eligible to make application for the grant of a Trainer's licence, subject to meeting the requirements set out in this Policy. If a Jockey licence-holder is granted a Pre-Trainer Licence (the **Jockey-Trainer**), the Jockey-Trainer may hold a Pre-Trainer Licence, subject to:

(i) the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from: (a) the date of the horse leaving his or her stables, or (b) the date of the horse being transferred from his or her stable return;
(ii) the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to his or her Jockey licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Pre-Trainer for the same or such other period as the Stewards or Racing Victoria determine;
(iii) the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to his or her Pre-Trainer licence, he or she (at the

discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or the Racing Victoria determine; and
any other term or condition or restriction proposed by Racing Victoria in its absolute discretion.

(e) Riding at Picnic Race Meetings: Only holders of a Picnic Jockey licence may ride at Picnic race meetings.

5. JOCKEY LICENCE
(Including Visiting Jockey Licence)

5.1 Background to a Licence

The Jockey Licence (**Jockey Licence**) is granted to experienced, professional jockeys. A holder of a Jockey Licence has no restrictions or limitations as to where he or she can ride in flat races, other than Picnic race meetings, and is eligible for annual entitlements and benefits.

Once granted, this Jockey Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

5.2 Requirements for the grant of a Jockey Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jockey Licence that the Applicant:

(a) Experience:
  (i) has completed an apprenticeship under these Local Rules or the Rules of another PRA; and
  (ii) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ridden in a specified number of jump outs to the satisfaction of the Rider Skills Panel within the specified period; or
  (iii) has, if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
  (iv) has, if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria).

(b) Criteria: has attained the Certificate IV in Racing – Jockey qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).

(c) Acknowledges the grant of a Jockey Licence is subject to meeting the minimum required rides for a season (see 5.4(b) below) and the Additional Criteria set out in this Policy.

5.3 Official Trials Permit (flat)

Following satisfactory completion of all the requirements in clause 5.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', Racing Victoria will grant an Applicant an Official Trials Permit (flat) to enable the Applicant to complete the final stage of the Licence process (official trials):

Official Trials Permit (flat): the Official Trials Permit-holder must:

a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ride in a specified number of official trials to the satisfaction of the Rider Skills Panel within the specified period; or

b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).

An Applicant will not be granted a Jockey Licence until they have been deemed to satisfy clauses 3, 4, 5.2 and 5.3 of this Policy.

5.4 Jockey Licence Additional Criteria

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jockey Licence, the conditions set out below will apply:

(a) Permission to ride: Further to section 4(a) of this Policy, the Jockey Licence-holder may ride horses in:
(i) Flat races conducted at Provincial and Metropolitan race meetings (except high-weight races and races restricted to Apprentices).

(b) Minimum rides: Per racing season, the Jockey Licence-holder is required to have a minimum of:
(i) 24 race rides in Victoria

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as;

- Injury;
- Personal reasons
- Non Victorian rides
- Previous licence history

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jockey Licence-holders.

5.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jockey Licence, a Jockey Licence-holder receives for the period of the Jockey Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);

(b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and

(c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

5.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jockey Licence, a visiting Jockey Licence-holder receives for the period of the visiting Jockey Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

6. JUMPS JOCKEY 'A' LICENCE
(Including Visiting Jumps Jockey 'A' Licence)

6.1 Background to a Licence

Formally known as the Jockey 'A' Cross Country Licence, the Jumps Jockey 'A' Licence (**Jumps A Licence**) is generally only granted to the most senior and experienced jumps jockeys. A holder of a Jumps A Licence has minimal restrictions or limitations as to where he or she can ride (refer "Jumps A Licence conditions" below), and is eligible for annual entitlements and benefits.

Once granted, this Jumps A Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

6.2 Requirements for the grant of a Jumps A Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps A Licence that the Applicant:

(a) Experience:
- (i) has held a Jumps Jockey 'B' licence (or its equivalent) for a minimum of four (4) years; or
- (ii) has out ridden his or her claim as per LR 91C; or
- (iii) has completed an apprenticeship under these Local Rules or the Rules of another PRA; or
- (iv) is or has been the holder of a Jockey 'A' or Jockey Licence; and
- (v) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ridden in a specified number of jump outs (flat) to the satisfaction of the Rider Skills Panel within the specified period; or
- (vi) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
- (vii) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); and
- (viii) has, if not adequately race-ridden **in jumps races** in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Rider Skills Panel.

(b) Criteria:
- (i) has attained the following qualifications in accordance with the National Racing Training Package (or recognised equivalent qualification):
  - a. Certificate IV in Racing – Jockey; and/or
  - b. Jumps Accreditation Program (Including the Race Riding Criteria).

Note: If the applicant holds a Jockey licence the applicant must have attained the following units:
- i. RGRPSH406 - Develop riding skills for jumping racing
- ii. RGRPSH416 - Perform trial and race jumping riding skills

(c) Acknowledges the grant of a Jumps A Licence is subject to meeting the minimum required rides for a season (see 6.4(b) below) and the additional criteria set out in this Policy.

6.3 Official Trials Permit (jump)

Following satisfactory completion of all the requirements in clause 6.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', Racing Victoria will grant an Applicant an Official Trials Permit (jump) to enable the Applicant to complete the final stage of the Licence process (official trials):

Official Trials Permit (jump): the Official Trials Permit-holder must:

a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Rider Skills Panel and Jumps Review Panel and as deemed necessary by the Rider Skills Panel and Jumps Review Panel ride in a specified number of flat and jumps official trials to the satisfaction of the Rider Skills Panel and Jumps Review Panel within the specified period; or
b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials **and** five (5) official jumps trials to the satisfaction of the Rider Skills Panel and Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 5 official flat trials **and** 10 official jumps trials to the satisfaction of the Rider Skills Panel and Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).

An Applicant will not be granted a Jumps Jockey A Licence until they have been deemed to satisfy clauses 3, 4, 6.2 and 6.3 of this Policy.

6.4 Jumps A Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps A Licence, the conditions set out below will apply:

(a) Permission to ride: Further to section 4(a) of this Policy, the Jumps A Licence-holder may ride horses in:
  (i) Official jumps and flat trials;
  (ii) Jumping races;
  (iii) High-weight races;
  (iv) Flat races conducted at Provincial and Metropolitan race meetings (except races restricted to Apprentices).

(b) Minimum rides: Per racing season, the Jumps A Licence-holder is required to have a minimum of:
  (i) 12 jumps race rides in Victoria.

  Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

  Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as;

- Injury;
- Personal reasons
- Non Victorian rides
- Previous licence history

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jumps A Licence-holders.

6.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jumps A Licence, a Jumps A Licence-holder receives for the period of the Jumps A Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);

(b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and

(c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

6.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jumps A Licence, a visiting Jumps A Licence-holder receives for the period of the visiting Jumps A Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

7. JUMPS JOCKEY 'B' LICENCE
(Including Visiting Jumps Jockey 'B' Licence)

7.1 Background to a Licence

Formally known as the Jockey 'B' Cross Country Licence, the Jumps Jockey 'B' Licence (**Jumps B Licence**) is granted to jumps jockeys with limited experience. Generally, Applicants for a Jumps B Licence have not completed an Apprenticeship under the Rules. A holder of a Jumps B Licence has a number of restrictions or limitations as to where he or she can ride (refer "Jumps B Licence conditions" below) and is eligible for annual entitlements and benefits.

Once granted, this Jumps B Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

7.2 Requirements for the grant of a Jumps B Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps B Licence that the Applicant:

(a) Experience:
- (i) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Jumps Review Panel and as deemed necessary by the Jumps Review Panel ridden in a specified number of jump outs (flat) to the satisfaction of the Jumps Review Panel within the specified period; or
- (ii) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
- (iii) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); and
- (iv) if not adequately race-ridden **in jumps races** in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Jumps Review Panel; or
- (v) if never race-ridden prior to the date of the application being made, ridden in a minimum of 30 jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).

(b) Criteria:
- (i) has attained the following qualification in accordance with the National Racing Training Package (or recognised equivalent qualification):
  - a. Jumps Accreditation Program (Including the Race Riding Criteria). Required units are:
    - i. RGRPSH205 - Perform basic riding skills in the racing industry
    - ii. RGRPSH306 - Develop basic trackwork riding skills
    - iii. RGRPSH307 - Exercise horses in pacework
    - iv. RGRPSH404 - Ride horses at trackwork
    - v. RGRPSH406 - Develop riding skills for jumping racing
    - vi. RGRPSH413 - Prepare for race riding
    - vii. RGRPSH416 - Perform trial and race jumping riding skills

viii. RGRPSH405 - Ride horses in jump outs

(c) Acknowledges the grant of a Jumps B Licence is subject to meeting the minimum required rides for a season (see 7.4(b) below) and the additional criteria set out in this Policy.

7.3 Official Trials Permit (jump)

Following satisfactory completion of all the requirements in clause 7.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', Racing Victoria will grant an Applicant an Official Trials Permit (jump) to enable the Applicant to complete the final stage of the Licence process (official trials):

Official Trials Permit (jump): the Official Trials Permit holder must:

a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Jumps Review Panel and as deemed necessary by the Jumps Review Panel ride in a specified number of (flat) and (jumps) official trials to the satisfaction of the Jumps Review Panel within the specified period; or
b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official (flat) trials **and** five (5) official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 5 official (flat) trials **and** 10 official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
d) if never race-ridden prior to the date of the application being made; ride in a minimum of 10 official (flat) trials **and** 20 official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).

An Applicant will not be granted a Jumps Jockey B Licence until they have been deemed to satisfy clauses 3, 4, 7.2 and 7.3 of this Policy.

7.4 Jumps B Licence Conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps B Licence, the conditions set out below will apply:

(a) Permission to ride: Further to section 4(a) of this Policy, the Jumps B Licence-holder may ride horses in:
  (i) Official jumps and flat trials;
  (ii) High-weight races conducted at Provincial race meetings only; and
  (iii) Jumping races conducted at Provincial race meetings only.

(b) Minimum rides: Per racing season, the Jumps B Licence-holder is required to have a minimum of:
  (i) 12 jumps race rides in Victoria.

  Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as;

- Injury;
- Personal reasons
- Non Victorian rides
- Previous licence history

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jumps B Licence-holders.

7.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jumps B Licence, a Jumps B Licence-holder receives for the period of the Jumps B Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);

(b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and

(c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey only designated parking on course).

7.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jumps B Licence, a visiting Jumps B Licence-holder receives for the period of the visiting Jumps B Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas

8. PICNIC JOCKEY LICENCE
(Including Visiting Picnic Jockey Licence)

8.1 Background to a Licence

Formally known as an "approval to ride at picnic meetings". Generally, Applicants for a Picnic Jockey Licence (**Picnic Licence)** have not completed an Apprenticeship under the Rules of Racing. A holder of a Picnic Licence is restricted to riding at picnic race meetings only (refer "Picnic Licence conditions" below), and is eligible for annual entitlements and benefits.

Once granted, this Picnic Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

8.2 Requirements for the grant of a Picnic Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licenses" as outlined in part 3 of this Policy, it is a precondition to the grant of a Picnic Licence that the Applicant:

(a) Experience:
- (i) has not ridden more than 20 (flat) winners at Provincial or Metropolitan race meetings (not including Non-TAB race meetings) conducted by registered clubs;
- (ii) has not been at any time within the previous five (5) years licensed as a Bookmaker; and
- (iii) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ridden in a specified number of jump outs to the satisfaction of the Rider Skills Panel within the specified period; or
- (iv) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
- (v) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
- (vi) if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).

(b) Criteria:
- (i) The Person must have undertaken and successfully completed. the following required training units set out in the National Racing Industry Training Package (or recognised equivalent units):

Unit Code	Unit Title
RGRPSH306	Develop basic trackwork riding skills
RGRPSH307	Exercise horses in pacework *(Prerequisite RGRPSH306)*
RGRPSH404	Ride horses at track work *(Prerequisite RGRPSH307)*
RGRPSH405	Ride horses in jump outs *(Prerequisite RGRPSH404)*

(ii) Race Riding Criteria

(c) Acknowledges the grant of a Picnic Licence is subject to meeting the minimum required rides for a season (see 8.4(b) below) and the additional criteria set out in this Policy.

8.3 Official Trials Permit (flat)

Following satisfactory completion of all the requirements in clause 8.2, and all other requirements detailed in 'General Requirements for the grant of Jockey licences', Racing Victoria will grant an Applicant an Official Trials Permit (flat) to enable the Applicant to complete the final stage of the Licence process (official trials):

Official Trials Permit (flat): the Official Trials Permit holder must:

a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ride in a specified number of official trials to the to the satisfaction of the Rider Skills Panel within the specified period; or
b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
c) if never race-ridden, or not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).

An Applicant will not be granted a Picnic Jockey Licence until they have been deemed to satisfy clauses 3, 4, 8.2 and 8.3 of this Policy.

8.4 Picnic Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Picnic Licence, the conditions set out below will apply:

(a) Permission to ride: Further to section 4.(a) of this Policy, the Picnic Licence - holder may only ride horses in flat races conducted at Picnic race meetings.

(b) Minimum rides: Per racing season, the Picnic Licence-holder is required to have a minimum of:
(i) 12 Picnic race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as;

- Injury;

- Personal reasons
- Non Victorian rides
- Previous licence history

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Picnic Licence-holders.

(c) Official Trials Permit (jump): A Picnic Licence-holder may also hold a Official Trials Permit (jump) for the purposes of riding in official jumps trials with the view to obtaining a Jumps Jockey 'B' licence.

8.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Picnic Licence, a Picnic Licence-holder receives for the period of the Picnic Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);

(b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and

(c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

8.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Picnic Licence, a visiting Picnic Licence-holder receives for the period of the visiting Picnic Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

9. APPRENTICE JOCKEY LICENCE
(Including Visiting Apprentice Jockey Licence)

9.1 Background to a Licence

Formally known as an Apprentice 'A' or 'B' Permit, the Apprentice Jockey Licence (**Apprentice Licence**) is granted to new and inexperienced jockeys. Generally, Applicants for an Apprentice Licence have ridden track work for many years and experienced riding in various equine pursuits, such as pony club associations and the performance horse industry. A holder of an Apprentice Licence has some restrictions and limitations as to where he or she can ride and is eligible for annual entitlements and benefits.

Once an Apprentice Licence is granted, the Apprentice Licence-holder is classified as an Apprentice Jockey 'B'. However, once the Apprentice has accumulated a number of winners as determined by Stewards, Racing Victoria may reclassify the Apprentice Licence-holder to an Apprentice Jockey 'A'. Refer "Apprentice Licence conditions" below.

Once granted, this Apprentice Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

9.2 Requirements for the grant of an Apprentice Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of an Apprentice Licence that the Applicant:

(a) Experience:
  (i) if making an application to ride on loan in Victoria (from an Interstate or Overseas-based PRA), has ridden a minimum of 20 winners in the Metropolitan area or 80 winners in total at race meetings covered by the TAB based on Victorian race classifications, or unless otherwise approved by RV based on special circumstances.

  All overseas winners are treated as metropolitan area, except for New Zealand where only premier meeting wins count as metropolitan winners.

  (ii) has been accepted and enrolled in Racing Victoria's Apprentice Program in order to participate in Certificate IV in Racing – Jockey;
  (iii) together with the licensed trainer, has completed the Apprentice Employment Agreement and Deed of Apprenticeship; and
  (iv) has, if not race-ridden, been observed by Racing Victoria to ride satisfactorily in track work;

(b) Acknowledges the grant of an Apprentice Licence is subject to meeting the minimum required rides for a season (see 9.3(h) below) and the additional criteria set out in this Policy.

9.3 Apprentice Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of an Apprentice Licence, the conditions set out below will apply:

(a) Accreditation: an apprentice may only be employed with an accredited Trainer in accordance with Racing Victoria's Trainer's Apprentice Jockey Employment Accreditation Process;

(b) Deed of Apprenticeship: An apprentice must adhere to all obligations of their Deed of Apprenticeship. Failure to do so may result in the Apprentice Jockey Licence being revoked.

(c) Approval for riding in official trials and jump outs: An apprentice may not ride in any official trial, jump out or other trial until the apprentice has been observed by the Stewards and/or the Rider Skills Panel to ride satisfactorily in track work.

(d) Jump outs - following satisfactory completion of all the requirements in clause 9.2, and all other requirements detailed in 'General Requirements for the grant of Jockey licences', an Apprentice Licence-holder must complete:

i. if not adequately race-ridden in the 12 months prior to the application being made, ridden in a minimum of 5 jump outs to the satisfaction of Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
ii. if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
iii. if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).

(e) Official Trials Permit - Following satisfactory completion of all the requirements in clause 9.2, 9.3 (c), and all other requirements detailed in 'General Requirements for the grant of Jockey licences', Racing Victoria will grant an Apprentice Licence-holder an Official Trials Permit to enable the Apprentice Licence-holder to participate in official trials and complete the final stage to obtain permission to ride in races. An Apprentice Licence-holder must complete:

i. if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Rider Skills Panel and as deemed necessary by the Rider Skills Panel ridden in a specified number of official trials to the to the satisfaction of the Rider Skills Panel within the specified period; or
ii. if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
iii. if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
iv. if never race ridden, ridden in a minimum of 20 official flat trials (of which at least two (2) must be of 1,200 meters or further and with the last two (2) official trials being under race day conditions) to the satisfaction of the Rider Skills Panel (to be completed within 12

months from the time that the requirement is applied by Racing Victoria).

(f) Permission to ride: Following satisfactory completion of all the requirements in clause 9.2, 9.3 (c), 9.3 (d), and all other requirements detailed in 'General Requirements for the grant of Jockey licences' an Apprentice Licence-holder will be granted permission to ride in races. In addition to section 4(a) of this Policy, the Apprentice Licence-holder may ride horses in flat races conducted at Provincial and Metropolitan race meetings (except high-weight races and picnic race meetings) in accordance with the requirements contained in Racing Victoria's Apprentice Jockey Guidelines.

(g) First Ride at Track: No later than two (2) hours prior to his or her first ride at a particular track, an Apprentice Licence-holder must attend the Stewards' Room and advise the Stewards that he or she is engaged to ride at the racecourse for the first time.

(h) Days of Riding: Notwithstanding the deed of apprenticeship regarding fulfilment of riding commitments:
(i) no apprentice shall accept rides for more than six consecutive days (a seventh day may be granted by Stewards in extraordinary circumstances);
(ii) each apprentice jockey shall have a minimum of two days without race riding in each 14 day period;
(iii) no apprentice shall be permitted to accept rides for both a day and twilight/night race meeting, on the same day; and
(iv) if an apprentice accepts rides in any twilight/night meeting they must have a minimum of a 10 hour break prior to engaging in any further riding commitments.

(i) Minimum rides: Per racing season, the Apprentice Licence-holder is required to have a minimum of:
(i) 24 race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as;

- Injury;
- Personal reasons
- Non Victorian rides
- Previous licence history

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Apprentice Licence-holders.

(j) Jumps Jockey Licence: Subject to approval by Racing Victoria, an Apprentice Licence -holder who is over 17 years of age may also hold a Jumps Jockey 'B' licence or Jumps Jockey 'A' licence for the purposes of riding in official jumps trials or jumps races. This is also subject to meeting the relevant criteria of the respective jumping licenses outlined within this Policy.

(k) Racing Victoria's Apprentice Jockey Guidelines: the Apprentice Licence-holder is subject to Racing Victoria's Apprentice Jockey Guidelines as in place and amended from time to time.

(l) Application of Racing Victoria's Apprentice Jockey Guidelines:

i. Racing Victoria's Apprentice Jockey Guidelines apply to all Apprentice Licence-holders from 1 August 2017.

ii. An apprentice who was granted an Apprentice Licence before 1 August 2017 may apply to ride in race meetings up to the highest Tier level (as defined in Racing Victoria's Apprentice Guidelines) in which they had previously been granted approval to ride by the Stewards.

iii. For the avoidance of doubt, and unless otherwise determined by Racing Victoria, an Apprentice Licence-holder who:

- had ridden in a Tier 4 race meeting prior to 1 August 2017 may accept rides in Tier 1, 2, 3 and 4 race meetings;
- had ridden in a Tier 3 race meeting prior to 1 August 2017 may accept rides in Tier 1 and 2 race meetings and must apply to the Stewards for daily permission to ride in any further Tier 3 race meeting;
- had ridden in a Tier 2 race meeting prior to 1 August 2017 may accept rides in Tier 1 and 2 race meetings;
- had ridden in Tier 1 race meetings only prior to 1 August 2017 may only accept rides in Tier 1 race meetings.

iv. Any application by an apprentice who was granted an Apprentice Licence before 1 August 2017 to ride in a higher Tier race meeting than that in which they had previously been granted approval to ride by the Stewards must be made in accordance with, and upon satisfaction of any requirements contained in, the Racing Victoria's Apprentice Jockey Guidelines.

(m) Apprentice Jockey 'A' Licence: Unless otherwise approved by Racing Victoria, upgrading from an Apprentice Jockey 'B' Licence to an Apprentice Jockey 'A' Licence is at Racing Victoria's discretion and based on an assessment of riding ability made after observing the Apprentice ride in races, and not until he or she has ridden 40 winners of which 10 or more must be in the Metropolitan Area. The Apprentice Licence-holder must also meet the required standards at the Victorian Apprentice Training Academy.

(n) Apprentice Jockey 'B' Licence: Unless otherwise approved by Racing Victoria, or as prescribed in Section 9.3(n) above, an Apprentice Licence-holder must only ride at Tier 1 race meetings (as defined in the Racing Victoria Apprentice Jockey Guidelines) until he or she has ridden 30 or more winners following which he or she may apply to the Stewards for permission to ride at other race meetings in accordance with the Racing Victoria Apprentice Jockey Guidelines.

9.4 Loan Applications for Victorian-based Apprentices

Subject to the Deed of Apprenticeship, the Victorian-based Apprentice Licence-holder may be placed on Secondment with a borrowing Trainer (Loan). A Loan will be for a minimum period of three (3) months, a request for extension to a maximum of 6 months may be considered if Racing Victoria determines it appropriate to extend the Loan based on the circumstances of the apprenticeship.

In these circumstances, the lending Trainer remains entitled to a percentage of the Apprentice Licence holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the Loan but may agree to pay a portion to the borrowing Trainer in consideration for the borrowing Trainer entering into, and performing his or her obligations under, the Loan

9.5 Loan Applications for Interstate or Overseas-based Apprentices

Subject to approval from the interstate or overseas-based PRA, the visiting Apprentice Licence-holder may be placed on Secondment within Victoria to a borrowing Victorian Trainer.

Requirements for the grant of an Apprentice Licence to an Interstate or Overseas apprentice seeking to be *on loan in Victoria must:

(i) have ridden a minimum of 20 winners in the metropolitan area or 80 winners in total at race meetings covered by the TAB based on Victorian race classifications, or unless otherwise approved by RV based on special circumstances.

All overseas winners are treated as metropolitan area, except for New Zealand where only premier meeting wins count as metropolitan winners.

(ii) submit the *Interstate / International Apprentice Jockey Loan Application* to Racing Victoria at least 10 business days prior to the proposed commencement date of the loan period which includes:

a) a Licensing/Stewards Report (Discipline/Behaviour)
b) his or her Race Riding Record and
c) vision of the last 10 race rides.

Note: An application does not guarantee acceptance. The Apprentice Jockey Panel will make a recommendation to Racing Victoria's Licensing Panel and subsequently the RV Board will determine as to whether a loan application will be accepted.

(iii) submit to licensing a Visiting Apprentice Jockey Application form and meet all criteria for the grant of a Visiting Apprentice Jockey license in accordance with Racing Victoria's Jockey Licensing Policy.

A Secondment will usually be for a period of no longer than three (3) months, a request for extension to a maximum of 6 months may be considered if Racing Victoria determines it appropriate to extend the Loan based on the circumstances of the apprenticeship.

In these circumstances, the lending interstate or overseas-based Trainer remains entitled to a percentage of the visiting Apprentice Licence-holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the loan but may agree to pay a portion to the borrowing Victorian Trainer in consideration for the borrowing Victorian Trainer entering into, and performing his or her obligations under,

the loan. The agreed portion of percentage of riding fees between the apprentice, borrowing and loaning trainer and agent (if applicable) will be consistent with current Victorian Apprentice maximum percentage (%) and documented and signed by all relevant parties in an Apprentice Loan Agreement.

Note: Racing Victoria, in consultation with the VJA, may determine to place a cap the number of interstate or overseas-based Apprentice Jockeys riding on loan in Victoria at any-one-time.

9.6 Transfer Applications for Victorian-based Apprentices

An application to transfer a Victorian-based apprenticeship to an interstate or overseas-based Principal Racing Authority should be made (in writing) to both racing authorities, at least 10 days prior to the Apprentice Licence-holder relocating and/or the Loan expiring.

9.7 Transfer Applications for Interstate or Overseas-based Apprentices

Requirements for an interstate/overseas apprentice seeking to transfer **permanently** to Victoria must:

(i) in making an application the applicant must have completed a 3-month loan period with an accredited Victorian Trainer;

(ii) satisfactorily have completed Cert IV Racing (Jockey) or relevant equivalent qualification training in their residing country and or jurisdiction, as determined by Racing Victoria.

(iii) submit an Apprentice Jockey Application at least 30 days prior to the loan period expiring and meet all the criteria for the grant of an Apprentice Jockey Licence in accordance with Racing Victoria's Jockey Licensing Policy.

Note: Racing Victoria, in consultation with the VJA, may determine to place a cap on the number of Apprentice Jockeys transferring to Victoria at any one time. An application does not guarantee The Apprentice Jockey Panel will make a recommendation to Racing Victoria's Licensing Panel and subsequently the RV Board will determine as to whether a loan application will be accepted.

(iv) together with the accredited licensed trainer, complete the Deed of Apprenticeship.

(v) Racing Victoria reserves the right to approve or deny applications based on special circumstances

9.8 Extension Applications for Victorian-based Apprentices

The term of a Victorian-based apprenticeship may be extended, however the maximum term of an Apprentice Licence must not be any longer than four years plus any cumulative injury or illness time loss (as evidenced by valid medical certification) from the Permit To Ride date.

Applications for an extension to an apprenticeship must be made by completing an 'Application to Extend Jockey Apprenticeship' form and must be accompanied by written support from the Apprentice Team. Applications must be submitted at least two months before the apprenticeship expiry date.

If the Apprentice Licence-holder has satisfied the above criteria, the Racing Victoria Licensing Panel may, in its full discretion, extend the apprenticeship, but only if the Apprentice Licence-holder has also satisfied the below criteria:

(a) Certificate IV Jockey;

(b) Completion of RV's Apprentice Jockey Training Program

(c) adherence to the RV Apprentice Code of Conduct;

(d) demonstrated commitment to a career as a Jockey;

(e) can utilise their existing metropolitan claim; and

(f) a satisfactory behaviour and disciplinary record.

9.9 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);

(b) Guest Ticketing: Two (2) Annual Admission Cards (permitting access to General Public Areas); and

(c) Car Parking (where applicable): Annual Car Park Pass (permitting access to Jockey only designated parking on course).

9.10 Visiting Apprentice Licence

Subject to meeting all other licensing requirements, the following conditions for a Visiting Apprentice Licence apply:

- Restricted to race riding in Victoria a maximum of three (3) race-days in any one (1) week period.
- "A Grade" Visiting Apprentices exempt from the maximum of three (3) days in any one week if race riding at Victorian Metropolitan Race meetings
- Visiting Apprentices can ride trackwork whilst the visiting apprentice licence is current.
- These conditions remain in force unless otherwise determine by RV

9.11 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

10. REVIEW AND APPROVAL

This Policy is reviewed annually and as required. This may occur due to a change of operation, new legislative obligation, government recommendations or recommendations as a result of audits.

Where revisions are required, changes will be tracked in red for review by the relevant Senior Management Team member, and for recommendation to the Racing Victoria Board for approval. If approved, a tracked, clean (both in Word) and PDF copy of the Policy will saved, and then sent to Legal, Risk and Compliance. A copy may also be provided to People & Culture for uploading onto Racing Victoria's intranet (the Stable).

Any changes to the Policy are noted in the "version" table at section 11 below.

11. VERSION

Version	Nature of Change	Reviewing Party	Approval
1	Update to minimum rides process, and clarification of the weigh in process within Section 3 (e) (iii)	EGM – Integrity Services RV Board	1 March 2021
1.1	Removed weight allowances for Jumps Jockey B, Picnic Jockey and Apprentice Jockey. Updated section 9	EGM – Integrity Services RV Board	15 February 2022