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Trainers' Safety Checklist – Pre-Training (2020/21 Racing Season)

Attached is the "Trainers' Safety Checklist for use when sending Horses for Pre-Training" form for completion prior to the 2020-21 racing season (**Checklist**).

The objective of the Checklist is to ensure that all reasonable steps are taken to ensure the safety of pre-training operations used by licensed trainers for pre-training and other education of racehorses.

The Checklist is provided pursuant to LR 26, and it is a requirement under the Rules that each trainer complete the Checklist as and when required (see below).

What do I need to do?

The Checklist is required to be filled out for all pre-training operations (Pre-Trainers) to which you currently have horses, or intend to send horses for the 2020-2021 racing season. As you will note from the Checklist:

(a) where a Pre-Trainer is licensed by Racing Victoria, you are only required to record your details, the details of the Pre-Trainer and the fact that you have confirmed the Pre-Trainer is licensed (see Part A of the form);

(b) where a Pre-Trainer is **not** licensed by Racing Victoria, you are required to:

i. take the steps set out in the Checklist to confirm that the Pre-Trainer has the Personal Protective Equipment and Riding Equipment, and tick the relevant boxes; and
ii. sign the form at Part B, and have the Pre-Trainer also sign the form at Part B.

Racing Victoria appreciates that there may be some administrative time required to complete the Checklist for each Pre-Trainer. Completed forms must be kept on your files and will be required for production by the Stewards or Racing Victoria upon request.

Audit and Review of Checklist Forms and Records

As part of our trainer safety programs, Racing Victoria conducts random and targeted audits of licensed trainers to review, amongst other things, safety processes. Where audits take place in the future, we may require you to produce your completed Checklists in respect of Pre-Trainers to whom you have sent horses.

Failure to produce such records upon request may lead to, amongst other things, action being taken under the Rules of Racing or to the imposition of further conditions upon your licence.

TRAINERS' SAFETY CHECKLIST FOR USE WHEN SENDING HORSES FOR PRE-TRAINING
Form prescribed by Racing Victoria under LR35G(2)

2020-2021 Racing Season

Trainer's Name: _____

Trainer's Address: _____

(**Trainer**)

Pre-Trainer Name: _____

Pre-Trainer Address: _____

(**Pre-Trainer)**

Under LR 26(1), before a trainer sends a horse that is recorded on the trainer's stable return to another person for pre-training and/or education (**Pre-Trainer**), the trainer must make reasonable inquiry of the Pre-Trainer to satisfy himself or herself that the Pre-Trainer will conduct pre-training and/or education of the horse in a safe manner with equipment and gear that is specified in, and accordance with, the Rules of Racing.

LR 26(2) provides that a trainer will have complied with LR 26(1) by recording on the form prescribed by Racing Victoria the checklist of equipment and gear required by the Rules to be used by the Pre-Trainer and that the form has been completed with the written acknowledgment and acceptance of the Pre-Trainer.

A trainer is required to complete this form when sending a horse to a Pre-Trainer. Where a trainer regularly sends horses that are recorded on the trainer's stable return to a Pre-Trainer for pre-training and/or education, the trainer's obligation to make reasonable inquiry under LR 26(1) will be satisfied when this form is completed in respect of the relevant Pre-Trainer once in a 12-month period.

Before a trainer sends a horse to a Pre-Trainer who is licensed by Racing Victoria at the time the horse is to be sent to the Pre-Trainer, the trainer is to complete Part A of this form.

Before a trainer sends a horse to a Pre-Trainer who is NOT licensed by Racing Victoria at the time the horse is to be sent to the Pre-Trainer, the trainer is to complete Part B of this form.

PART A: TO BE COMPLETED WHERE THE PRE-TRAINER IS LICENSED BY RACING VICTORIA

Completed (tick)

I confirm that I intend to send a horse (or horses) to the Pre-Trainer named on page one above and that the Pre-Trainer currently holds a licence with Racing Victoria. ☐

PART B: TO BE COMPLETED BY THE TRAINER WHERE THE PRE-TRAINER IS *NOT* LICENSED BY RACING VICTORIA

Where a Trainer intends to send a horse (or horses) to a Pre-Trainer who is not licensed by Racing Victoria, the Trainer must take all steps necessary to ensure that the Pre-Trainer has all of the below Personal Protective Equipment and Riding Equipment. The Trainer must tick each box below to confirm that he/she has confirmed that the Pre-Trainer has the relevant equipment (including ensuring that the equipment is in the working order as described below), and both the Trainer and Pre-Trainer must sign below.

Completed (tick)

1. Personal Protective Equipment PPE

(a) Protective Riding Helmets

Ensure helmets are within 5 years of the date of manufacture and that the date of manufacture is clearly marked on the helmet. ☐

Ensure that safety working lights affixed to helmets, used when a rider is mounted on a horse in the darkness, are in good working order. ☐

Ensure helmets conform with one of the standards approved by the Board, being AS/NZS 3838 2003, United States (US) ASTM F11 63-01, or British Standards (BS) EN 1384/1996 onwards. ☐

Ensure helmets are fitted with a nylon interlocking chinstrap clip attachment. ☐

(b) Safety Vests

Ensure that safety vests have a manufacturer's label attached stating that the vest complies with one of the relevant standards prescribed by the Board, being ARB Standard 1.1998, SATRA Jockey Vest standard, or European Standard EN 13158 level 1. ☐

Ensure that safety vests are in a satisfactory condition: have not been tampered with, have had no packing removed and that they have a working zip and velcro. ☐

(c) Riding Boots

Ensure that riding boots are in a satisfactory condition: fully enclosed, flat soled, do not have holes in the soles and are free of tears and splits. ☐

(d) Reflectors

Ensure that rugs with reflective strips, reflective vests and other reflective equipment used to ensure a level of visibility when riding on properties before sunrise, are in a satisfactory condition: reflective material is properly attached to the particular item and that reflective material is visible in the dark. ☐

2. Riding Equipment

(a) Saddles

Ensure points, saddle and stirrup leathers are in a safe condition: free of tears and splits. ☐

Ensure that saddles are equipped with safety irons. ☐

(b) Bridles

Ensure bridles are in a safe condition: free of tears and splits. ☐

Ensure reins are in a safe condition: have sufficient rubber tread. ☐

Ensure bits, which a horse is to have in its mouth whilst being outside the confines of any stable premises, are attached to a lead. ☐

3. Stables, Exercise Areas and Perimeter Fencing

(a) Paddocks/Yards/Stables are free of obstacles and in good condition. ☐

(b) Horse work and exercise areas (including round yard, sand roll, training track, barriers and ménage) are in good condition and free of obstacles. ☐

(c) All perimeter fencing in place and in good condition. ☐

The Pre-Trainer must not, and will ensure that the Pre-Trainer's personnel will not, while driving a motor vehicle on a public road lead a horse from the motor vehicle, including by tethering the horse to the motor vehicle.

Note: To lead a horse from a vehicle on a public road is an offence under the *Road Safety Road Rules Act 2009* (Vic).

The Pre-Trainer acknowledges that he/she:

(a) has the above equipment and will maintain such equipment in good working order; and
(b) accepts that he or she will comply with the above requirements.

_____ _____

Signature of Pre-Trainer **Signature of Trainer**

Date:_____ **Date:**_____