

RACING APPEALS AND DISCIPLINARY BOARD

DECISION

RACING VICTORIA STEWARDS and

TREVOR ANDREWS

<u>Date of Hearing</u> <u>Date of Decision</u> <u>Date of Penalty</u>	11 June 2019 20 June 2019 22 July 2019
<u>Panel</u>	Judge Bowman (Chair), Mr B Forrest (Deputy Chair), Mr G Ellis (Member).
<u>Appearances</u>	Mr J Rush QC and Mr J Hooper of Counsel, appeared on behalf of the Stewards.
	Mr D Sheales of Counsel, appeared on behalf of Mr T Andrews.
<u>Charge 1</u>	AR 175(h)(i) - Withdrawn
<u>Charge 2</u>	AR 175(h)(ii) – [Alternative to Charge 1]
	The Principal Racing Authority (or the Stewards exercising powers delegated to them) may penalise: (h) Any person who administers, or causes to be administered, to a horse any prohibited substance: (ii) which is detected in any sample taken from such horse prior to or following the running of any race.
<u>Summary</u>	The Stewards allege that prior to the race on 4 December 2016, Mr Andrews administered, or caused to be administered, to <i>Coppola</i> a prohibited substance being cobalt at a concentration in excess of 100 micrograms per litre in urine which was detected in a urine sample taken from <i>Coppola</i> prior to the running of the race.
<u>Charge 3</u>	AR 178 [Alternative to Charges 1 and 2]
	Subject to AR 778G, when any horse that has been brought to a racecourse for the purpose of engaging in a race and a prohibited substance is detected in any sample taken from it prior to or following its running in any race, the trainer and any other person who was in charge of such horse at any relevant time may be penalised.

<u>Summary</u>	The Stewards allege that on 4 December 2016, Mr Andrews brought <i>Coppola</i> to the Geelong Racecourse for the purpose of engaging in the IGA Liquor at Nhill Handicap (1726 metres) when a prohibited substance, being cobalt at a concentration in excess of 100 micrograms per litre in urine, was detected in a urine sample taken from <i>Coppola</i> prior to it running in the race.
<u>Plea</u>	Charge 1 - Withdrawn Charge 2 – Not Guilty [Alternative to Charge 1] Charge 3 – Guilty [Alternative to Charges 1 and 2]
<u>Decision</u>	Charge 1 – Withdrawn Charge 2 – Charge proved, penalty imposed, a six-month suspension Charge 3 – Falls away In accordance with AR 177, <i>Coppola</i> is disqualified from the race and finishing order amended accordingly.

Grace Gugliandolo Registrar - Racing Appeals and Disciplinary Board

20 June 2019

Victoria

RACING APPEALS AND DISCIPLINARY BOARD (Original Jurisdiction)

Racing Victoria Stewards v Trevor Andrews and Racing Victoria Stewards v Matthew Leek

DECISIONS

Judge BowmanChairMr B ForrestDeputy ChairMr G EllisMember

Appearances

Mr J Rush QC	With Mr J Hooper of Counsel, appeared on behalf of the Stewards.
Mr D Sheales of counsel	Appeared on behalf of behalf of Mr T Andrews. He also appeared on behalf of Mr M Leek.

Introduction

Whilst these are two quite distinct matters, effectively they were conducted in the one hearing. Each involves the alleged administration of a prohibited substance, namely

5 cobalt. Each trainer charged was represented by Mr Damian Sheales of Counsel. Whilst each trainer gave evidence and was separately cross-examined, the two expert witnesses called by the Stewards in relation to both cases were each cross-examined by Mr Sheales only once.

Accordingly, the format of this decision is somewhat different than usual.

10 Each trainer pleaded "not guilty" to a charged pursuant to AR 175(h)(ii), but "guilty" to a charge pursuant to AR 178. In addition, Mr Leek pleaded "guilty" to a breach of

AR 178F. In summary form, each trainer contested the charge of administering or causing to be administered a prohibited substance (cobalt) detected on race day. Each admits that such prohibited substance was detected. In addition, Mr Leek admits that he did not keep adequate treatment records.

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The charges to which the trainers have pleaded "guilty" will not receive a great deal of attention in this decision, other than as possible relevant background material to the contested charges. Further, for the sake of convenience, rule numbers and letters will be those in operation at the time charges were laid.

20 AR 175(h)(ii) and the cobalt arguments

We shall now deal with what could be described as "the cobalt arguments", these being matters of principle common to the contested cases against each trainer.

The manner in which questioning and submissions unfolded seems to us to involve the following essential questions. Is cobalt in all its forms a prohibited substance 25 pursuant to the rules? Should it be? (The relevance of this is debatable, but at times the cross-examination came at least close to entering this particular arena). Is the available testing of samples accurate or adequate? Is the distinction between organic and inorganic cobalt given adequate attention and testing? Can there be any confidence in testing results?

- 30 In addition to their evidence-in-chief and the tendering of reports, there was quite lengthy cross-examination of both expert witnesses called by the Stewards, those witnesses being Dr Grace Forbes, General Manager of Veterinary Services with Racing Victoria, and Associate Professor Stuart Paine, Associate Professor of Veterinary Pharmacology at the University of Nottingham, England (by telephone link-up).
- 35 The conclusions at which we have arrived are as follows.

Cobalt is a prohibited substance pursuant to the Rules of Racing. It falls within both AR 178B (i) and (ii). We refer to and accept the report or statement of Dr Forbes of 29 November 2017, particularly at paragraphs 50 and 51.

Were there any doubt (and we do not accept that there is), it is removed when AR 178C(1)(l) is considered. Cobalt is listed as a prohibited substance, but is excepted

40 from the operation of AR 178B if the mass concentration is at or below 100 milligrams per litre in urine.

We accept that these Rules set out in a very complex and cumbersome fashion what should be a comparatively simple proposition, but the end result is that the amount of cobalt found in the present cases is, prima facie, sufficient to have it operate as a prohibited substance for the purposes of the Rules.

Arguments as to whether cobalt is in fact a substance that affects performance are not to the point. That is for the rule-makers to determine.

In addition, we are not of the view that arguments about testing, in the absence of 50 cogent evidence that the tests relied upon are in fact flawed, take matters further. True it is that Associate Professor Paine suggested that, in the case of Mr Andrews, a urine specific gravity measurement might be carried out, given that the sample reading obtained was not far over the 100mg limit. This was not done. However, as ultimately stated by Associate Professor Paine in re-examination, based upon the supplementation regime as supplied by Mr Andrews, it is highly improbable that 55 urine specific gravity would explain the reading being in excess of 100mg. There is no expert evidence to the contrary.

In short, we are of the view that the evidence establishes that the testing carried out was both adequate and accurate. We have no reason to lack confidence in the test results and we accept them.

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In the light of those broader findings, we will now move on to the individual cases.

1. Mr Trevor Andrews

Mr Trevor Andrews, you have pleaded "not guilty" to a breach of AR 175(h)(ii) in that, being the licensed trainer of *Coppola*, prior to that horse running at Geelong on 4

December 2016, you administered or caused to be administered to it cobalt at a 65 concentration in excess of 100 micrograms per litre in urine detected in a sample. After testing, the level of cobalt was found to be 118 micrograms. The legal limit was 100 micrograms. A charge pursuant to AR 175(h)(i) was withdrawn.

You have no explanation for the elevated reading. When interviewed, you
expressed surprise and could think of no reason for the presence of such a level of cobalt. Effectively that has remained the case. You gave evidence and were cross-examined. You called no other witness.

The standard to which we must be satisfied is that referred to in the well-known case of *Briginshaw*. We must be comfortably satisfied that the case against you has been made out.

We are so satisfied. We would point out the following.

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There is no suggestion of any break-in or the like to your stables. It is not suggested that this was the deliberate work of a disgruntled employee or ex-employee or, for that matter, a stranger. There is no evidence that what occurred was due to a

mistake, negligence or malfeasance on the part of a veterinary surgeon or of a vendor of preparations or medications for horses. In short, the responsibility rests squarely with you and the staff for whom you are responsible.

The only relevant staff member, in the sense of a person who looked after *Coppola* or gave that horse medications or preparations, was Ms Gemma Psaila. We accept that
she took instructions from you and that she could and did administer oral medications. When interviewed by Stewards, you stated clearly and definitely that *Coppola* did not receive any medications on 3 December 2016, the day prior to racing. You had administered an injection to it on 28 November.

When interviewed, Ms Psaila had a different version of events. She stated that she
normally fed horses on the night before races and had done so with *Coppola* on the
night of 3 December. She stated that you directed the feeding regime. The feed
would have included Hermabuild, an additive that contains cobalt – see the report of
Dr Forbes. In cross-examination you effectively blamed Ms Psaila, stating that she
did not do what she was told to do. Firstly, she was describing what seemed to be

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95 part of a regular routine. Secondly, the responsibility was yours. If she, as your employee, was giving race-eve feeds, whether or not they contained Hermabuild and other substances, you should have known about it and remedied the situation.

We are also unhappy about the situation with your treatment diary. When the Stewards called for it on 30 December, you stated that it was with your book-keeper.

- 100 The Stewards attempted to contact your book-keeper, in vain. You were contacted and arrangements made for you to bring your treatment diary to Flemington Racecourse on 1 January (you had runners). You failed to bring it. You were subsequently sent two text messages by the Stewards. Ultimately you provided the treatment diary on 4 January. It is a particularly neat and comprehensive document.
- 105 There are at least two surprising features of this aspect of things. One is that, when you did obtain it, you had not "gone back and had a look too closely" – see the interview of 22 February. We would have thought that the contents of your own treatment diary would have been of pressing importance to you. Secondly, Ms Psaila had said on 30 December that the keeping of the treatment records had been
- "pretty slack". She referred to a black folder and was unaware of a diary.We are also aware of the pattern of results of raceday samples taken from *Coppola*.

The cobalt readings were as follows.

15/11/16 51 mg. post-race

4/12/16 118 mg. pre-race (the subject of the charge and the second run for your stable)

30/12/16 5 mg. pre-race

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1/1/17 9 mg pre-race

10/2/17 13 mg. pre-race

There appears to have been a sustained and substantial drop in the cobalt readingson the raceday subsequent to the race in question.

The bottom line is this. At the relevant time you operated a comparatively small stable. The responsibility for it was yours. Whether deliberately or as a result of your failure to oversee your employee adequately, Coppola returned a pre-race urine sample positive to cobalt above the prescribed limit and, on the available evidence,

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that resulted from your use of feed supplements. You administered or caused to be administered a prohibited substance.

1. <u>Mr Matthew Leek</u>

Mr Matthew Leek you have pleaded "not guilty" to a breach of AR 175(h)(ii) in that, being the licensed trainer of *Champagne Kisses*, prior to that horse running at

130 Pakenham on 3 March 2016, you administered or caused to be administered to it cobalt at a concentration in excess of 200 micrograms per litre in urine detected in a sample. After testing, the level of cobalt was found to be 531 micrograms. The legal limit was 200 micrograms. A charge pursuant to AR 175(h)(i) was withdrawn.

You have no explanation for the elevated reading. When interviewed, you expressed surprise and could think of no reason for the presence of such a level of 135 cobalt. Effectively that has remained the case. You gave evidence and were crossexamined. You called no other witness.

The standard to which we must be satisfied is that referred to in the well-known case of *Briginshaw*. We must be comfortably satisfied that the case against you has been made out.

We are so satisfied. We would point out the following.

There is no suggestion of any break-in or the like to your stables. It is not suggested that this was the deliberate work of a disgruntled employee or ex-employee or, for that matter, a stranger. There is no evidence that what occurred was due to a

mistake, negligence or malfeasance on the part of a veterinary surgeon or of a 145 vendor of preparations or medications for horses. In short, the responsibility rests squarely with you and the staff for whom you are responsible.

The only other person possibly involved at your stables is your partner, Ms Jenna Shanks, a registered stablehand at the relevant time. However, when interviewed by

150 the Stewards, you made it clear that she administers no medications and that, in any event, you instruct her as to what to do. She does the normal feeding regularly.

We place particular emphasis upon the following matters. This is a very high reading. It is more than two and half times the then legal limit. This is suggestive of either a high level of carelessness or the deliberate use of a product with a high

155 cobalt content.

Secondly, you freely admit that you were feeding your horses a product from Pakenham Produce, where Ms Shanks works, which product is specifically designated to be used for cattle. It may be that this is cheaper because it does not have a picture of a horse on the label and that you rang your veterinary surgeon, Dr

160 David Shepherd, about it. When interviewed by the Stewards, Dr Shepherd thought that is was preferable if such a product was not used for horses, although not saying it would necessarily elevate cobalt readings.

Thirdly, you have no relevant treatment records whatsoever. This certainly does not assist you in any attempt to establish that the very high cobalt reading is not the result of any administration by you.

result of any administration by you.

In short, the administration of substances to *Champagne Kisses* was your responsibility. That horse returned a cobalt reading a long way above the legal limit. We are of the view that this resulted from the substances which you administered to it or that you caused to be administered to it. We are comfortably satisfied that the

170 charge against you has been proven.

The board will hear submissions on penalty at a date to be fixed.

22 July 2019

Victoria

RACING APPEALS AND DISCIPLINARY BOARD (Original Jurisdiction)

Racing Victoria Stewards v Trevor Andrews

PENALTY

Judge Bowman Chair Mr B Forrest Deputy Chair Mr G Ellis Member

Written Submissions

Mr J Hooper of Counsel	on behalf of the Stewards.
Mr D Sheales of counsel	on behalf of behalf of Mr T Andrews

Mr Trevor Andrews, you have been found guilty of a breach of AR 175(h)(ii), a charge which you have pleaded "not guilty".

The substance of the charge is that on 4 December 2016 the horse Coppola, trained by

5 you, ran sixth in Race 7 at Geelong. A pre-race urine sample had been taken. It revealed a urinary cobalt concentration greater than the threshold of 100 micrograms per litre prescribed by the rules. The reading was 118 micrograms.

We accept that the feeding regime which you disclosed could not have produced the reading in question. You have no explanation for it. There is no suggestion of any

10 break into your stables, disgruntled employee or the like. Effectively you had only one employee, Ms Gemma Psaila. She took instructions from you. You tried to pass on some blame to her, but the responsibility was all yours.

This was *Coppola's* second run for your stable. At it's first start it's cobalt reading was elevated (51 micrograms post race), but not in breach of the rule. On 4 December, the

15 reading was, as stated, 118 micrograms. It's reading at it's three starts after 4 December were 5, 9 and 13 micrograms. You have no explanation for this. Overall, we accept the submission of Mr Hooper on behalf of the Stewards that there has been a lack of candour on your part.

The only other explanation is carelessness in relation to the contents and timing of the administration of substances. We accept the statement of Ms Psaila in relation to the feeding of *Coppola* on the night before the race, and, in any event, it was your responsibility.

We turn now to matters in your favour. You have a clean record. Next, whilst any reading over the prescribed limit is inexcusable, *Coppola's* reading was not over by a huge amount. You have only a very small team of horses in work and have encountered financial difficulties. Essentially you earn your living from breaking in

horses and pre-training for various clients.

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We are also mindful of the need for the penalty imposed in a matter such as this to send a message not just to you, but to the industry and to the public.

30 Trainers must be particularly vigilant and ensure that all available steps are taken in order to ensure that no risks exist in relation to prohibited substances.

In relation to general deterrence, the message has to be repeated, time and time again, that the detection of prohibited substances and any resultant publicity gives racing a bad name. As has been said frequently, it creates an image of attempts to gain an unfair advantage, of cheating and of racing being crooked, of animal welfare being put at risk and the like. That is an outcome that we all should not want to see. General deterrence is an important consideration. And detection of unfair practices or stable negligence will occur.

The bottom line is this. We are of the view that a period of suspension should be imposed. You are suspended for a period of six months. We leave it to you and the Stewards to fix a commencement date. Doubtless the limitations associated with a suspension will be explained to you.

Finally, *Coppola* is disqualified from the race and finishing order amended accordingly.