



## AMENDMENT TO RULES OF RACING

### Amendment No 160 – Issued 7 July 2016

The Racing Victoria Board has approved the following amendments to the Victorian Local Rules of Racing, effective immediately from 1 July 2016.

#### AMENDMENTS TO THE LOCAL RULES OF RACING:

##### SUMMARY OF AMENDMENTS

The Racing Victoria Board has approved amendments to Local Rules relating to licences and registrations along with the amalgamation of the various riding skills panels to form one (flat) Rider's Skills Panel.

These rule amendments followed the Licensing Regulation Unit (LRU) conducting a review of the categories of licences and registrations granted by Racing Victoria in accordance with the Rules of Racing. During the review process, the LRU identified various issues such as categories that are no longer in use and categories that require a name change to better reflect an applicant's status.

Amendments have also been approved as part of a series of initiatives aimed at protecting the wellbeing of apprentice jockeys to ensure that they maintain an appropriate and healthy work-life balance, whilst furthering their skills and career development as a rider.

The number of consecutive days an apprentice jockey can ride in races has been reduced from nine to six days thus allowing one day per week for dedicated recovery and rest from racing and training commitments.

#### Amendments effective from 1 July 2016

##### **AR 1 DEFINITION OF 'LICENSING PANEL' BE ADDED AS FOLLOWS:**

"**Licensing Panel**" means the Racing Victoria Licensing Panel as established on 22 October 2013, and effective from 1 November 2013.

##### **LR 35A(1A) BE AMENDED AS FOLLOWS:**

###### TRAINERS

###### LR 35A Licences

(1A) Categories: An application for the grant of a licence to train may be made for the following categories of trainer:

- (a) Pre-Trainer;
- (b) Restricted;
- (c) General;
- (d) General A;
- (e) Training Partnership (being up to 3 persons);
- (f) Visiting General A Trainer;
- (g) Visiting General Trainer;
- (h) Visiting Restricted Trainer;

- (i) Visiting Pre-Trainer;
- (j) Visiting International Trainer; or
- (k) Any other category as Racing Victoria allows from time to time.

**LR 36A, B, BA and C BE AMENDED AS FOLLOWS:  
LR 36BB and FA BE ADDED AS FOLLOWS:  
LR 36DA DELETED:**

**LR 36A Persons permitted to ride in races**

A person must not ride in a race at any race meeting (unless such meeting has been exempted from the operation of this Rule) unless that person is:

- (a) licensed as a jockey by the Directors;
- (b) permitted to ride at specified race meetings by an Official empowered by the Directors to permit jockeys to ride at such meetings; or
- (c) an Apprentice who has obtained a permit from the Directors to ride in races.

**LR 36B Licences and permits**

- (1) **Application:** Requirements for grant of a licence to ride: An application to the Directors for the grant or renewal of a licence or permit to ride must meet the requirements set out in the Racing Victoria Jockey Licensing Policy (as amended from time to time).
- (2) **Categories:** An application for the grant of a licence or permit to ride may be made for the following categories of jockey:
  - (a) Jockey;
  - (b) Jumps Jockey 'A';
  - (c) Jumps Jockey 'B';
  - (d) Picnic Jockey;
  - (e) Apprentice Jockey;
  - (f) Visiting Jockey;
  - (g) Visiting Jumps Jockey 'A';
  - (h) Visiting Jumps Jockey 'B';
  - (i) Visiting Picnic Jockey;
  - (j) Visiting Apprentice Jockey;
  - (k) Visiting International Jockey; and
  - (l) any other category as Racing Victoria allows from time to time.

**LR 36BA Jumps Riders Skills Panel**

- (1) **Appointment:** The Directors or their delegate may appoint a Jumps Riders Skills Panel. If the Directors or their delegate appoint a Jumps Riders Skills Panel, the Directors or their delegate must:
  - (a) appoint not less than five (5) persons to constitute the Jumps Riders Skills Panel, of which at least three (3) are to be:
    - (i) retired jockeys with acknowledged experience in jumps racing; or
    - (ii) experienced equestrian riders or instructors; and
  - (b) appoint a suitable replacement for any member of the Jumps Riders Skills Panel where that member is absent or unable to attend to their duties;
  - (c) define the term of office and remuneration (where necessary) of persons appointed to the Jumps Riders Skills Panel;
  - (d) specify the quorum, which must comprise at least three (3) Jumps Riders Skills Panel members, one of which must be a Racing Victoria Steward;
  - (e) appoint the Chair of the Jumps Riders Skills Panel, who must be a Racing Victoria Steward;
  - (f) appoint an executive officer to assist the Jumps Riders Skills Panel;
  - (g) empower the Racing Victoria Stewards to penalise any rider referred to the Jumps Riders Skills Panel who fails or refuses to:
    - (i) attend when directed; or
    - (ii) comply with or fulfil any reasonable direction of the Jumps Riders Skills Panel; and
  - (h) empower (to the extent that the Rules allow) the Racing Victoria Stewards to suspend or limit in any way a rider's permission to ride in jumps races if they reasonably determine that any aspect of the rider's race riding technique, method or practice may be hazardous to the rider or other riders, or may be hazardous to the welfare of any horse.
- (2) **Function:** The function of the Jumps Riders Skills Panel is to:
  - (a) meet as required each calendar year;
  - (b) consider referrals made by the Racing Victoria Stewards, the Licensing Panel, or the Racing Victoria Board;
  - (c) seek to improve the safety for all participants of the Victorian Racing Industry by ensuring jumps riders have the necessary skill to participate as a jumps rider;
  - (d) assess, assist and mentor jumps riders by providing remedial or technique training to jumps riders referred to the Jumps Riders Skills Panel;
  - (e) critically analyse and provide feedback on jumps rider activity especially related to any aspect of their race riding technique, method or practice which may:
    - (i) be hazardous to them or fellow jumps riders;
    - (ii) be contrary to the interests of horse welfare; or
    - (iii) involve a breach of the Rules;
  - (f) provide assistance and advice to the Stewards, Licensing Panel and the Racing Victoria Board on matters concerning a jumps rider's skills and suitability to be granted, or hold, a licence or permit to ride in jumps races;

- (g) where deemed appropriate in the opinion of the Jumps Riders Skills Panel, recommend to the Jumps Review Panel, Stewards, Licensing Panel or the Racing Victoria Board that a jumps jockey be directed to undertake remedial action (including further jumps training or trials);
- (h) be involved in the assessment process for potential new jumps jockeys and provide recommendations to Racing Victoria regarding the assessment processes regarding any jumps rider applications;
- (i) make recommendations to Racing Victoria in relation to:
  - (i) Rules relating to jumps riders; or
  - (ii) Racing Victoria's rider licensing policy;
  - (iii) whether a person (licensee or new applicant) should hold (or be granted) a licence to ride by the Licensing Panel or Directors;
- (j) provide assistance to Racing Victoria in relation to the continuous improvement of the National Racing Industry Training Package (or equivalent); and
- (k) provide requested feedback to the referring Steward or Licensing Panel as soon as practicable.

**(3) Removal or resignation from Jumps Riders Skills Panel:**

- (a) The Directors may define appointment terms for members of the Jumps Riders Skills Panel, those terms being no longer than three years, with members being eligible for re-appointment after that three year period.
- (b) The Directors or their delegate, in their absolute discretion, may remove a member of the Jumps Riders Skills Panel at any time with immediate effect.
- (c) Any member of the Jumps Riders Skills Panel may resign by giving notice in writing to the CEO of Racing Victoria.

[LR 36BA adopted 5/2/09, deleted and replaced 1/7/16]

**LR 36BB Riders Skills Panel**

**(1) Appointment:** The Directors or their delegate may appoint a Riders Skills Panel. If the Directors or their delegate appoint a Riders Skills Panel, the Directors or their delegate must:

- (a) appoint not less than five (5) persons to constitute the Riders Skills Panel, of which at least:
  - (i) three (3) are to be retired jockeys with acknowledged experience in race riding; and
  - (ii) two (2) are to be members of the Racing Victoria Stewards Panel;
- (b) appoint a suitable replacement for any member of the Riders Skills Panel where that member is absent or unable to attend to their duties;
- (c) define the term of office and remuneration (where necessary) of persons appointed to the Riders Skills Panel;
- (d) specify the quorum, which must comprise at least three (3) Riders Skills Panel members, one of which must be a Racing Victoria Steward;
- (e) appoint the Chair of the Riders Skills Panel, who must be a Racing Victoria Steward;
- (f) appoint an executive officer to assist the Riders Skills Panel;
- (g) empower the Racing Victoria Stewards to penalise any rider referred to the Riders Skills Panel who fails or refuses to:
  - (i) attend when directed; or
  - (ii) comply with or fulfil any reasonable direction of the Riders Skills Panel; and
- (h) empower (to the extent that the Rules allow) the Racing Victoria Stewards to suspend or limit in any way a rider's permission to ride in races if they reasonably determine that any aspect of the rider's race riding technique, method or practice may be hazardous to the rider or other riders, or may be hazardous to the welfare of any horse.

**(2) Function: The function of the Riders Skills Panel is to:**

- (a) meet as required each calendar year;
- (b) consider referrals made by the Racing Victoria Stewards, the Licensing Panel, or the Racing Victoria Board;
- (c) seek to improve the safety for all participants of the Victorian Racing Industry by ensuring riders have the necessary skill to participate as a rider;
- (d) assess, assist and mentor riders by providing remedial or technique training to riders (including jockeys, apprentice jockeys, jumps jockeys, and picnic jockeys) referred to the Riders Skills Panel;
- (e) critically analyse and provide feedback on rider activity especially related to any aspect of their race riding technique, method or practice which may:
  - (i) be hazardous to them or fellow riders;
  - (ii) be contrary to the interests of horse welfare; or
  - (iii) involve a breach of the Rules;
- (f) provide assistance and advice to the Stewards, Licensing Panel and the Racing Victoria Board on matters concerning a rider's skills and suitability to be granted, or hold, a licence or permit to ride;
- (g) where deemed appropriate in the opinion of the Riders Skills Panel, recommend to the Stewards, Licensing Panel or the Racing Victoria Board that a jockey be directed to undertake remedial action (including further training or trials);
- (h) be involved in the assessment process for potential new apprentices and provide recommendations to Racing Victoria regarding the apprentice appointment process or the assessment processes regarding any other rider applications;
- (i) make recommendations to Racing Victoria in relation to:
  - (i) Rules relating to riders; or
  - (ii) Racing Victoria's rider licensing policy;
- (j) provide assistance to Racing Victoria in relation to the continuous improvement of the National Racing Industry Training Package (or equivalent); and
- (k) provide requested feedback to the referring Steward or Licensing Panel as soon as practicable.

**(3) Removal or resignation from Riders Skills Panel:**

- (a) The Directors may define appointment terms for members of the Riders Skills Panel, those terms being no longer than three years, with members being eligible for re-appointment after that three year period.
- (b) The Directors or their delegate, in their absolute discretion, may remove a member of the Riders Skills Panel at any time with immediate effect.
- (c) Any member of the Riders Skills Panel may resign by giving notice in writing to the CEO of Racing Victoria.

**LR 36C Grant by the Stewards of special permission to ride**

- (1) **Special permission to ride at Metropolitan Race Meetings:** Stewards officiating at a Metropolitan Race Meeting may permit a rider holding a licence or permit to ride only at Provincial Area Race meetings to ride at the Metropolitan Race Meeting.
- (2) **Fees:** It is a condition of approval pursuant to LR 36B(1) or (2) that the rider pay such fees as prescribed by the Directors and published in the Prescribed Fee Schedule. [added 8/3/12]

**LR 36FA Riders Agent Licences**

- (1) As provided for in AR 85A(2), a person must not act in the capacity of a riders agent unless he or she has been licensed in that capacity.
- (2) An application to the Directors for the grant or renewal of a riders agent licence must:
  - (a) be in such form;
  - (b) provide such information; and
  - (c) be accompanied by such fee;as is prescribed by the Directors from time to time and published in the Prescribed Fee Schedule.
- (3) Categories: An application for the grant of a riders agent licence may be made for the following categories of riders agent:
  - (a) Riders Agent
  - (b) Visiting Riders Agent; or
  - (c) Visiting International Riders Agent
- (4) Persons Ineligible: A person may not be granted or hold a riders agent licence if the person is licensed or registered under the Rules of Racing, except when that person:
  - (a) is a registered Stable Employee; or
  - (b) has otherwise been approved by the Principal Racing Authority to be granted or hold a riders agent licence.
- (5) Directors may prescribe certain pre-requisites, requirements, qualifications and training units:  
In relation to each of the licence categories referred to in LR 36FA(3), the Directors may from time to time prescribe:
  - (a) educational qualifications, training units and experience levels which must be attained as a pre-requisite to the grant of a riders agent licence; or
  - (b) any other pre-requisite or requirement for the grant of a riders agent licence as deemed appropriate.
- (6) Relief from requirements: The Directors may in their absolute discretion, relieve an applicant for a riders agent licence from any of the requirements prescribed by LR 36FA(5).
- (7) Grant or Refusal to Grant a Riders Agent Licence: Having received an application under LR 36FA, the Directors may:
  - (a) refuse to grant a riders agent licence;
  - (b) request whatever further information the Directors consider reasonable and appropriate; or
  - (c) grant a riders agent licence subject to such terms and conditions as the Directors consider reasonable and appropriate.
- (8) Revocation: The Directors may, at any time, revoke, or vary the terms of a riders agent licence granted by them, including, without limitation, where the holder of the licence:
  - (a) is not actively using the licence;
  - (b) ceases to meet the requirements to be granted a licence in accordance with the Rules of Racing; or
  - (c) breaches any term or condition of that person's riders agent licence.
- (9) Term of licence: All rider agent licenses expire on the 31 July next after issue unless:
  - (a) revoked earlier in accordance to LR 36FA(8);
  - (b) cancelled;
  - (c) suspended;
  - (d) disqualified; or
  - (e) otherwise provided for under the terms and conditions of a riders agent licence.

**LR 38A and E BE AMENDED AS FOLLOWS:  
LR 38D, EE and G DELETED**

**APPRENTICES**

**LR 38A Application to take on an Apprentice**

- (1) **Approval for trainer or owner:** A trainer or owner may not take on an Apprentice except with the prior written approval of the Directors, which the Directors may refuse to grant without giving reasons or may grant on such terms and conditions as the Directors think fit.
- (2) **Application to take on an Apprentice:** An application by a trainer or owner for approval of the Directors to take on an Apprentice must be:
  - (a) made in writing on the form prescribed for the purpose by the Directors; and

- (b) accompanied by such information as the Directors may prescribe.

### **LR 38E Presence at race meetings**

- (1) **Requirement:** An Apprentice must not ride at a race meeting when his or her Master is not present unless the Apprentice is in the charge of another person who is:
  - (a) present at the race meeting; and
  - (b) either:
    - (i) a trainer; or
    - (ii) another person approved by the Stewards for that purpose; and
  - (c) appointed in writing by the Master to have charge of the Apprentice at the race meeting.
- (2) **Apprentice attendance form:** At all race meetings Apprentices must sign an attendance form before weighing out, correctly identifying (among other things) the person who has charge of the Apprentice at the race meeting.
- (3) **Days of race riding for Apprentices**
  - (a) Notwithstanding the deed of apprenticeship regarding fulfilment of riding commitments, no apprentice shall accept rides for more than six consecutive days (a seventh day may be granted by Stewards in extraordinary circumstances).
  - (b) Further, each apprentice jockey shall have a minimum of two days without race riding in each 14 day period.  
[adopted 5/6/08, amended 1/7/16]

### **LR 39B(2A) BE AMENDED AS FOLLOWS:**

#### **LR 39B Registration of Stable Employees**

**(2A) Categories of Stable Employee:** An application for the registration of a person to be employed by a trainer under LR 39B may be made in the following categories:

- (a) Stablehand;
- (b) Foreperson;
- (c) Assistant Trainer;
- (d) Racing Manager;
- (e) Visiting Stablehand;
- (f) Visiting Foreperson;
- (g) Visiting Assistant Trainer;
- (h) Visiting Racing Manager;
- (i) Visiting International Stablehand;
- (j) Visiting International Foreperson;
- (k) Visiting International Assistant Trainer;
- (l) Visiting International Racing Manager; or
- (m) Any other category as Racing Victoria allows from time to time.

### **LR 40 BE ADDED AS FOLLOWS: LR 40A, B, C and D DELETED**

#### **LR 40 Float Drivers**

- (1) No person or entity must provide horse floating and related services in relation to race meetings or official trials unless that person or entity is registered by Racing Victoria in that capacity.
- (2) An application for registration as a float driver must be made by a person or entity in the business of providing horse floating (and related) services and:
  - (a) be in such form;
  - (b) provide such information; and
  - (c) be accompanied by such fee;as is prescribed by the Directors from time to time and published in the Prescribed Fee Schedule.
- (3) Categories: An application for the grant of a float driver registration may be made for the following categories of float driver:
  - (a) Float Driver; or
  - (b) Visiting Float Driver
- (4) Directors may prescribe certain pre-requisites, requirements, qualifications and training units:  
In relation to each of the registration categories referred to in LR 40(3), the Directors may from time to time prescribe:
  - (a) educational qualifications, training units and experience levels which must be attained as a pre-requisite to the grant of a float driver registration; or
  - (b) any other pre-requisite or requirement for the grant of a float driver registration as deemed appropriate;
- (5) Relief from requirements: The Directors may in their absolute discretion, relieve an applicant for a float driver registration from any of the requirements prescribed by LR 40(4).
- (6) Grant or Refusal to Grant a Float Driver Registration: Having received an application under LR 40, the Directors may:

- (a) refuse to grant a float driver registration;
- (b) request whatever further information the Directors consider reasonable and appropriate; or
- (c) grant a float driver registration subject to such terms and conditions as the Directors consider reasonable and appropriate.

(7) Revocation: The Directors may, at any time, revoke, or vary the terms of a float driver registration granted by them, including, without limitation, where the holder of the registration:

- (a) is not actively using the registration;
- (b) ceases to meet the requirements to be granted a registration in accordance with the Rules of Racing; or
- (c) breaches any term or condition of that person's float driver registration.

(8) Term of registration: All float driver registrations expire on the 31 July next after issue unless:

- (a) revoked earlier in accordance to LR 40(7);
- (b) cancelled;
- (c) suspended;
- (d) disqualified; or
- (e) otherwise provided for under the terms and conditions of a float driver registration.

### ***LR 56B(1A) BE ADDED AS FOLLOWS:***

#### **LR 56B Farriers' licences**

(1A) Categories: An application for the grant of a farriers licence may be made for the following categories of farrier:

- (a) Farrier;
- (b) Visiting Farrier; or
- (c) Visiting International Farrier.

### ***LR 56C BE DELETED AND REPLACED AS FOLLOWS:***

#### **LR 56C Farrier's Apprentices (formally known as Farrier's Employees)**

(1) A licensed farrier must not employ or retain in employment any person in connection with the performance of farriery on racehorses unless such person is registered by the licensed farrier in accordance with this Rule.

(2) An application for registration of farrier's apprentice must be made by a licensed farrier:

- (a) in such form;
  - (b) with such information; and
  - (c) accompanied by such fee;
- as is prescribed by the Directors from time to time and published in the Prescribed Fee Schedule.

(2A) Categories: An application for registration of a farrier's apprentice may be made for the following categories:

- (a) Apprentice Farrier;
- (b) Visiting Apprentice Farrier; or
- (c) Visiting International Apprentice Farrier.

(3) The Directors may from time to time prescribe educational and experience qualifications which must be attained as a precondition for a person to be registered as a farrier's apprentice.

(4) The Directors may in their absolute discretion:

- (a) refuse to register a person as a farrier's apprentice;
- (b) register a person as a farrier's apprentice subject to any conditions;
- (c) revoke, cancel or vary the conditions of any registration.

(5) Term of registration: All of the registrations expire on the 31 July next after issue unless:

- (a) revoked earlier in accordance to LR 56C(4);
- (b) cancelled;
- (c) suspended;
- (d) disqualified; or
- (e) otherwise provided for under the terms and conditions of an apprentice farrier registration.

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