



Trainer Licence Renewal 2018/19

(1 Aug 2018 to 31 Jul 2019)

| OFFICE USE ONLY | | |
|-----------------|--------------|-----------------|
| RECEIVED DATE: | RECEIVED BY: | PROCESSED DATE: |
| | | |

1 Submit your personal details:

A paper administration fee of \$60 will apply.

| | | |
|--------------------------------|--------------------|------------|
| Title: | First Name: | Surname: |
| ID Number (Office Use Only) | D.O.B: | |
| Residential Address: | | |
| Postal Address: | | |
| Suburb: | State: | Post Code: |
| Mobile/Phone: | Email (Mandatory): | |

Changing Stable Address: When intending to relocate (or apply for additional) stables, an online 'Relocation or Additional' application must be submitted prior to relocating your horse(s). This application is located at rv.racing.com by clicking 'Licensing' under the 'QUICK LINKS' section of the home page then clicking 'TRAINERS'.

Changing Training Venue: When requesting to change (or add an additional) training venue, evidence of Race Club approval is required. In this instance, please contact the relevant Race Club to obtain this approval.

Current Stable Address:

Current Training Location:

What category of Trainer Licence are you renewing? (Please Circle):

INDIVIDUAL AND PARTNERSHIP PRIMARY TRAINERS

GENERAL 'A' TRAINER **(\$1609.58)**

GENERAL TRAINER **(\$1160.50)**

RESTRICTED TRAINER **(\$949.10)**

PRE-TRAINER **(\$533.50)**

PARTNERSHIP SECONDARY TRAINERS

GENERAL 'A' TRAINER **(\$653.33)**

GENERAL TRAINER **(\$406.75)**

RESTRICTED TRAINER **(\$330.35)**

Where payment is made after 20 July 2018, a \$100 late fee will automatically apply

2 Next of Kin details:

In conjunction with the Australian Trainers' Association, the collection of your Next of Kin details is now considered a pre-requisite to the grant of a trainer's licence within Victoria. This information will be stored in accordance with Racing Victoria's Privacy Statement:

| | | |
|--------------------------------|--------------------|--|
| Next of Kin Full Name: | | |
| ID Number (Office Use Only) | Next of Kin Phone: | |


3 Rules of Racing and Probity Declarations:

| | | | |
|---|---|-----------------------------|-------------|
| Rules of Racing: I acknowledge that I am bound by the Rules of Racing of Racing Victoria and of any other Principal Racing Authority in which I perform trainer-related duties (Rules), and I agree to familiarise myself with these Rules, including the Rules relating to trainers and amendments made to these Rules from time to time. | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |
| Continued Education: I further declare that I agree to complete all Racing Victoria educational programs related to trainer licensing within the relevant timeframe. | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |
| National Police Record Certificate: In circumstances where Racing Victoria's Intelligence and Compliance Unit sees fit, I consent to obtaining a National Police Record Certificate and providing it to Racing Victoria (if contacted by Racing Victoria or an appointed CrimTrac accredited agency). | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |
| Criminal Charges: After submitting this Application, I acknowledge that I must inform Racing Victoria's Intelligence and Compliance Unit of any criminal charges laid against me within seven days of any charges being laid. Updates must be sent by email to icu@racingvictoria.net.au . | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |
| Personal Bankruptcy and/or Company Insolvency: After submitting this Application, I acknowledge that I must inform Racing Australia's Licensing Department within 7 days to licensing@racingaustralia.horse : (a) I am declared Bankrupt; and/or (b) A Company which I use as part of my training function/business, and/or which employs me as a trainer, becomes insolvent (including: Voluntary Administration, Liquidation or Receivership). | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |

4 Equine Welfare Declaration

| | | | |
|--|---|-----------------------------|-------------|
| For the racing season 17/18, I have understood and complied with: (1) All welfare related rules in the Australian Rules of Racing and any applicable Local Rules; (2) The Racing Victoria Code of Practice for the Care of Horses; and (3) All welfare related legislation, regulation and/or code, including (but not limited to) the <i>Prevention of Cruelty to Animals Act 1986</i> and the <i>DEDJTR Agriculture Victoria Code of Practice for the Welfare of Horses</i> . | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |
| I further declare that I: (1) Support and promote equine welfare practices within the thoroughbred racing industry, including the Racing Victoria Equine Welfare Strategy; (2) Agree to notify the Racing Victoria Equine Welfare Manager (or their delegate) of any equine welfare concerns that I may witness; and (3) Agree to complete all Racing Victoria equine welfare training programs within the relevant timeframe. | Yes, I acknowledge <input type="checkbox"/> | No <input type="checkbox"/> | (Tick here) |

5 Questionnaire:

| | |
|---|--|
| <p>Are you currently under any ban/restriction or have any pending matters yet to be determined by any Racing Authority or Racing code (including Racing Victoria)?</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p> <div style="border: 1px solid gray; height: 40px;"></div> |
| <p>Have you ever been suspended, disqualified or refused a licence, permit or registration by any Racing Authority or Racing code (including Racing Victoria)?</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p> <div style="border: 1px solid gray; height: 40px;"></div> |
| <p>Have you, in the last 10 years, been: (a) found guilty of a criminal offence? (b) imprisoned? (c) placed on parole? Note: Should Racing Victoria discover through a National Police Check or other means that this has been answered incorrectly, you may be stood down.</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p> <div style="border: 1px solid gray; height: 60px;"></div> |
| <p>Are there any current criminal proceedings (or charges) pending against you?</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p> <div style="border: 1px solid gray; height: 40px;"></div> |
| <p>Personal Bankruptcy and/or Company Insolvency: (a) Have you, in the last 10 years, been declared bankrupt or insolvent? and/or (b) Do you currently have any bankruptcy action or proceedings pending against you? and/or (c) Has any Company which you use as part of your training function/business, and/or which employs you as a trainer, become insolvent (including: Voluntary Administration, Liquidation or Receivership)?</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p> <div style="border: 1px solid gray; height: 40px;"></div> |
| <p>Who is responsible for the horses in your absence (main)?</p> | <p>Full Name:</p> <div style="border: 1px solid gray; height: 30px;"></div> |
| <p>Please list all individuals or practices who provide Veterinary services to your stable:</p> | <p>Full Name/s and/or Practice/s:</p> <div style="border: 1px solid gray; height: 30px;"></div> |
| <p>Please list all individuals or entities who pre-train horses for your stable (Excluding Pre-Trainer licence-holders)</p> | <p>Full Name/s and/or Entities:</p> <div style="border: 1px solid gray; height: 30px;"></div> |
| <p>Who is your stable Farrier (main)?</p> | <p>Full Name</p> <div style="border: 1px solid gray; height: 30px;"></div> |
| <p>Is the above Farrier licensed in accordance with LR 56A of the Rules of Racing?</p> | <p>Yes <input type="checkbox"/> No <input type="checkbox"/>  If No, you must seek prior approval from the Stewards before presenting a horse (for an official trial or race) that is shod by an unlicensed Farrier.</p> |

I acknowledge that any debts accrued or owed by me to Racing Victoria will be paid within the time permitted by Racing Victoria Yes, I acknowledge

6 Employment Questionnaire:

Company:

Are you, or a close relative, associated with any company in connection with the provision of training services?

A close relative means a spouse, de facto partner, parent or child.

Being associated with a company includes, but is not limited to:

- being a director, shareholder or employee of a company for the provision of any aspect of the training services (whether whole or in part) offered by you to owners; or
- being a director, shareholder or employee of a company which provides ancillary or support services (such as accounting, management) in connection with your training activities; or
- receiving management services in connection with the provision of training services.

For example:

- A company, "Citizen Family Racing" employs the individual, Mr John Citizen, to train racehorses.
- "ABC Management Services" provides management services to you.

Tick below:

YES or NO

If Yes, provide each company's full name, Australian Company Number (ACN) and state whether you are a director, shareholder or employee:

| COMPANY | ACN | ASSOCIATION TYPE |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

After submitting this Application, I acknowledge that I must inform Racing Victoria's Intelligence and Compliance Unit of any material change to the information disclosed in this section.

Yes, I acknowledge

Trust:

Are you associated with any trust entities as part of your training activities?

Tick below:

YES or NO

If Yes, provide each trust entity's full name and Australian Company Number (ACN):

| COMPANY | ACN | ASSOCIATION TYPE |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

For each company and trust listed provide the following:

Yes, I have attached a copy of the ASIC Certificate of Company/Entity Incorporation.

Yes, I have attached a copy of the relevant ASIC Extract (current and historical) that outlines all Directors and Shareholders of the company (and the Shares held by each person).

Yes, I have attached a statement including:

- a description of my relationship with the company;
- a copy of any contract I have with the company, any relevant trust instrument, or where there is no formal contract, a summary of all commercial terms/the terms I have been engaged on;
- description of the arrangements for the distribution of prize money;
- who the key personnel are within the Company and their respective roles; and
- the nature of the services:
 - o I provide to the company; and/or
 - o provided by the company to me.

Yes, I have attached a National Police Certificate (national name police check) for all current Directors as listed in the ASIC extract.

Yes, I have attached signed declarations from all key personnel of the company and on behalf of the company

Employment:

Do you have another form of employment?

or

If Yes, with which Company?

Company contact number:

7 Eligibility to work in Australia:

Are you an Australian citizen or permanent resident?

Yes No

Note: If you have answered 'no' to the above question, you must provide a copy of the VISA that enables you to work as a Trainer in Australia

I have provided a copy of my VISA with this application (if applicable)

8 Payment

Alternatively, enclose a Cheque or Money Order payable to Racing Victoria. A significant discount applies if you renew online by Credit Card.

| | |
|---|-------------------------|
| Description of items included: | RACING VICTORIA LIMITED |
| | ABN 88 096 917 930 |
| | Tax Invoice Receipt |
| Total amount payable (Inc. GST) | |
| <ul style="list-style-type: none">• Identification Card• Public Liability Insurance• Inside Racing Magazine | |
| <p><i>(For Registration Fees per Category, Refer to Section 1)</i> <i>(Renewals received after 20 July will automatically incur a late fee of \$100.00)</i></p> | |
| *Note: An Inside Racing Magazine subscription remains mandatory for each licensee | |

| | |
|-----------------------------------|---------------------|
| Credit Card Type (Please circle): | Credit Card Number: |
| MASTERCARD / VISA | |

| | | |
|-----------------------------------|----------------------------------|--------------------------------|
| Cardholder's Name (Please print): | Credit Card Expiry Date (MM/YY): | Credit Card Verification (CVN) |
| | | |

| | |
|-------------------------|--|
| Cardholder's Signature: | |
| | |

Please retain a copy of this form for your taxation records.

9 Acknowledgements and declarations by Trainer:

PRIVACY STATEMENT:

Racing Victoria is the body responsible for and carries out the functions of: determining and issuing occupational licences authorising persons to participate in the Victorian thoroughbred racing industry ("Licensed Persons"); supervising Licensed Persons; administering and promoting racing; and such other functions as may be described in its privacy policy published on its website from time to time ("Privacy Policy").

In the course of performing its functions, Racing Victoria may seek personal information from you for the purposes of:

- o making determinations in relation to your licence application, renewal or continuance;
- o meeting supervisory responsibilities in relation to you as a Licensed Person;
- o promoting or protecting the integrity of the thoroughbred racing code and ensuring compliance with the Rules of Racing;
- o administering and promoting racing operations (including your participation in races and the industry); and
- o any other function or activity described in the Privacy Policy.

For these purposes, Racing Victoria may also obtain personal information about you from, or disclose your personal information to, third parties such as enforcement bodies, government authorities, other racing control bodies in the States and Territories of Australia and overseas, wagering service providers such as bookmakers, totalisators, and betting exchanges, educational or training institutions and to other persons for any of the purposes described above or as otherwise provided by law.

Unless otherwise advised by you, your image, name and contact details may be published monthly in the Inside Racing Magazine and also disclosed to and published by Racing Victoria and its associates (including Racing.com, Clubs and Racing Australia Pty Ltd) to facilitate your participation in racing and the promotion of racing.

In order to process your application, this application form and your personal information will be collected by Racing Australia on behalf of Racing Victoria. All personal information collected by Racing Australia on behalf of Racing Victoria is subject to the Racing Australia and Racing Victoria privacy policies, the latter prevailing in the event of any conflict.

You may make a request to Racing Victoria to gain access to information held by Racing Victoria in relation to you by writing to the Privacy Officer, Racing Victoria, 400 Epsom Road, Flemington, 3031. Should you decline to provide personal information to Racing Victoria when requested by Racing Victoria as part of its licensing and supervisory activities, Racing Victoria may refuse to grant or renew such a licence or may revoke or suspend your licence.

Disclosure of Contact Information to Racing-Related Third Parties

- o From time to time Racing Victoria receives requests from racing industry member bodies and associations seeking to contact licensed trainers with racing-related information and offers. Racing Victoria may elect to disclose your contact information in these circumstances. If you do not consent to your contact details being disclosed for this purpose please advise below.
 - o I do not consent to my contact information being disclosed to racing industry member bodies and/or associations.

In making my application to be licensed as a trainer; I hereby:

- o Declare that all particulars in my application are true and correct;
- o Acknowledge and agree to be subject to and bound by:
 - o the Rules of Racing of Racing Victoria as amended or varied by Racing Victoria from time to time; and
 - o such rules and directions as may from time to time be formed, made or given by Racing Victoria, the Directors, the Stewards, or an official of any Club;
- o Acknowledge that I have read this application in full, including the Privacy Statement;
- o Acknowledge that Racing Victoria may request further information from me in respect of this application;
- o Consent to Racing Victoria obtaining personal information about me from others and using and disclosing my personal information as noted in the Privacy Statement;
- o Consent to Racing Victoria applying a \$100 late fee to my payment should this renewal be received by Racing Victoria after 20 July 2018.
- o Acknowledge that any debts accrued or owed by me to Racing Victoria will be paid within the time permitted by Racing Victoria.

AR 175A

Any person bound by these Rules who either within a racecourse or elsewhere in the opinion of the Committee of any Club or the Stewards has been guilty of conduct prejudicial to the image, or interests, or welfare of racing may be penalised.

AR 175B.

- a. A trainer must not lay any horse that is either under his care, control or supervision or has been in the preceding 21 days.
- b. Any person employed by a trainer in connection with the training or care of racehorses must not lay a horse under the control of the trainer for whom he is or was employed, while so employed and for a period of 21 days after ceasing to be so.
- c. A nominator must not lay any horse that is or may be entered by him or on his behalf, provided that a bookmaker may lay a horse in accordance with his licence.
- d. A rider's agent must not lay any horse to be ridden by a rider for whom he is agent.
- e. Any person who has provided a service or services connected with the keeping, training or racing of a horse must not, within 21 days of having last done so, lay such horse.
- f. It is an offence for any person to offer an inducement to a participant in racing with the intention of profiting from a horse not participating in the event to the best of its ability. [adopted 19/10/06]
- g. For the purposes of this rule "lay" means the offering or placing of a bet on a horse:
 - a. to lose a race, or
 - b. to be beaten by any other runner or runners; or
 - c. to be beaten by any margin or range of margins; or
 - d. that a horse will not be placed in a race in accordance with the provisions of AR 157. [adopted 15/2/07, amended 1/10/07]

AR 175C.

In circumstances where it is an offence for a person to lay a horse under AR 175B it shall also be an offence for that person to:

- a. have a horse laid on his behalf, or
- b. receive any moneys or other valuable consideration in any way connected with the laying of the horse by another person.

LR 66A Person employed must not lay a horse

For the purposes of AR 175B (2) a person employed by a trainer in connection with the training or care of racehorses includes any person registered in accordance with LR 39B and any reference to employment includes a reference to such registration. [adopted 4/4/08]

SIGN HERE

TODAY'S DATE:



(Your ID Card will not be issued until 15 July. If this renewal is received after 20 July, a late fee of \$100.00 will automatically be applied)

DECLARATION BY KEY COMPANY PERSONNEL:

I (Full Name)

Of Address

am the (Company Title) of (Company Name)

I declare that:

- (a) I have not been the subject of any criminal or civil penalty proceedings or other enforcement action by any government agency in which I was found to have engaged in behaviour involving fraud, dishonesty, misrepresentation, concealment of material facts or breach of duty;
- (b) I have not been refused membership of, or had my membership suspended or cancelled by, any professional body on the ground that I have engaged in behaviour involving fraud, dishonesty, misrepresentation, concealment of material facts or breach of duty;
- (c) I have not been the subject of any disciplinary action (including any censure, monetary penalty or banning order) by a securities exchange or other authority responsible for regulating securities markets for failure to comply with my obligations as a director of a listed entity; and
- (d) I confirm to Racing Victoria Limited that I am not an undischarged bankrupt.

I expressly acknowledge that I am bound by the Australian Rules of Racing of Racing and the Local Rules and I agree to familiarise myself with these Rules and amendments made to these Rules from time to time.

SIGN HERE

TODAY'S DATE:

DECLARATION BY COMPANY:

I (Full Name)

Of Address

am the (Company Title) of (Company Name)

I am authorised by the Company to declare on behalf of the Company that the Company is bound by the Australian Rules of Racing of Racing and the Local Rules.

SIGN HERE

TODAY'S DATE: