



APPLICATION FOR AN INDIVIDUAL CLUB BOOKMAKER'S LICENCE

(From 1 August 2020 to 31 July 2021)

Wagering Services
400 Epsom Road
Flemington VIC 3031, Australia
Telephone: 03 9258 4667
Email: b.admin@racingvictoria.net.au

Applications must be lodged via email to b.admin@racingvictoria.net.au or post to:

Racing Victoria
Attention: Wagering Services
400 Epsom Road,
Flemington, VIC 3031

APPLICATIONS INCLUDING FINANCIAL STATEMENTS AND TICKET FORMS MUST BE RECEIVED BY THE WAGERING DEPARTMENT ON OR BEFORE JUNE 30th, 2020. A FEE OF \$100 WILL APPLY TO BOOKMAKERS WHO PROVIDE THESE DOCUMENTS AFTER THIS DATE.

SECTION 1: APPLICANT DETAILS AND DECLARATION

Bookmakers Licence No: (for prior holders of a Bookmakers Licence only)			
Bookmakers Full name:		D.O. B	
Residential Address:			Post Code:
Phone:		Mobile:	
Email:			

I hereby apply for a Club Bookmaker's Licence for the licence period 1 August 2020 to 31 July 2021 in respect of the Venues specified in Section 3 of this Application Form.

In making this application, I:

- (a) acknowledge that I have read, understood and agree to be bound by the Racing Victoria Rules of Racing (**Rules of Racing**), Club Bookmakers' Licence Rules 2010, Bookmakers' Levy Rules 2012, Bookmakers' Telephone Betting Rules 2001, Bookmakers' Internet Betting Rules 2001 and Bookmaker Sports Betting Rules 2007 (together, the **Bookmaker Rules**), as published on the RV website, and I agree to familiarise myself and be bound by any amendments to those rules;
- (b) warrant that all persons whom I employ in my bookmaking business have read the Rules of Racing and the Bookmaker Rules, as published on the RV website, and:
 - (i) will assist Racing Victoria with any enquiry or direction (or other relevant matter) related to my bookmaking business;
 - (ii) where directed or requested, will attend and give evidence in respect of any investigation or inquiry by Racing Victoria in accordance with the Rules of Racing and/or the Bookmaker Rules;

- (c) agree to be subject and bound by such rules and directions as may from time to time be made or given by the directors of Racing Victoria, the Stewards and/or betting supervisors of Racing Victoria (or any of their delegates), or any officials of any racing club registered to conduct thoroughbred racing under the Rules of Racing;
- (d) acknowledge that, when one of my Key Employees is performing the role of Approved Substitute for me, that person carries on my bookmaking business on my behalf and I am liable for the operation of that business at all times;
- (e) acknowledge that I have read and agree to be bound by the Collection Statement in Section 6 of this Application Form;
- (f) agree to be bound by Racing Victoria policies relating to bookmakers, including the stand allocation policies, which may be amended from time to time;
- (g) agree to use an Approved Betting System (**ABS**) to record all betting activity, in accordance with Rule 13 of the Club Bookmakers' Licence Rules 2010;
- (h) agree that when using an ABS, it is my responsibility to:
 - i. conduct my own due diligence regarding the ABS provider and suitability of the ABS to my business requirements and circumstances; and
 - ii. ensure that I am satisfied with the commercial terms offered by the ABS provider – including the level of insurance cover held by the ABS provider - are appropriate and sufficient for my requirements and circumstances;
- (i) agree to have all outstanding payments owed to Racing Victoria (including any administrative charges, levy fees or fines) settled by the commencement of the new licence period on 1 August 2019;
- (j) agree to ensure all required end of day files are transferred to Racing Victoria at the conclusion of each day of operation;
- (k) acknowledge and confirm that, pursuant to the requirements of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) ('**AMLCTF Act**'), I am enrolled with the Australian Transaction Reports and Analysis Centre ('**AUSTRAC**') and warrant that I am / my business is (and will continue to be throughout the period of the Club Bookmaker's Licence) compliant with my / its obligations under the AML/CTF Act;¹ and
- (l) warrant that as at the date of signing this declaration and at all times during the period of my Club Bookmakers Licence neither I nor my bookmaking business is in breach or contravention of or default under or non-compliance with any statute, rule regulation or by-law whether Commonwealth or State that is related to or in connection with wagering or the activities or undertakings of operating a wagering business or the breach of which would constitute a criminal offence and neither I nor my bookmaking business has received notice that it may be in such default, contravention or breach.

¹ Refer to the AUSTRAC Compliance Guide (in particular chapter 4) available on the AUSTRAC website, for more information: <http://www.austrac.gov.au> Instructions on how to enrol or register your business are available on the AUSTRAC website at the following link: www.austrac.gov.au/businesses/enrolment-and-registration/enrolment-and-registration

Note: You must complete the financial statement enclosed with this application (Applications will only be processed upon receipt of the financial statement).

Please answer the following questions:

Are you currently under any ban/restriction imposed by any Racing Authority, either in Australia or another jurisdiction?	No <input type="checkbox"/> Yes <input type="checkbox"/> If Yes, please explain the circumstances:
Have you, in the last 10 years, been <i>found guilty</i> of a criminal offence, either in Australia or another jurisdiction? (You may be required to provide a National Police Record Certificate)	No <input type="checkbox"/> Yes <input type="checkbox"/> If Yes, please explain the circumstances:
Are there any current civil or criminal proceedings (or charges) <i>pending</i> against you, either in Australia or another jurisdiction? (You may be required to provide a National Police Record Certificate)	No <input type="checkbox"/> Yes <input type="checkbox"/> If Yes, please explain the circumstances:
Ongoing requirement to inform Racing Victoria regarding any civil or criminal proceedings (or charges) pending against you After submitting this Application, you are required to inform the Racing Victoria Integrity Services Department of any criminal charges or civil proceedings laid or issued against you <i>within seven days of any charges or proceedings being laid or issued.</i> Updates must be sent by email to b.admin@racingvictoria.net.au	

I declare that:

- 1. the above information, and the information provided in this Application Form, is true and correct; and***
- 2. I am not financially or in any other way interested in illegal betting whatsoever, and I undertake that I will not engage in any such business while I hold a Club Bookmaker's Licence issued by Racing Victoria,***

and further acknowledge that if this Application contains any false or misleading information or conceals any material facts, my Club Bookmaker's Licence may be revoked or suspended in accordance with the Club Bookmaker's Licence Rules, and/or disciplinary action may be taken against me under the Rules of Racing.

Bookmaker's Name

Bookmaker's Signature

Date

SECTION 2: AREA ALLOCATION DURATION

All Licences are for a 12-month period. Where a bookmaker receives an Area Allocation at a Metropolitan racecourse, that bookmaker must meet the minimum works requirement of 33% of all meetings. Please also note that meeting the minimum 33% requirement will not guarantee a stand during the next licence period.

SECTION 3: VENUES

Stand allocations will be based on your selections on this application and allocations will be made in accordance with Racing Victoria's Victorian Bookmakers' Policy Guidelines. Tick each venue you wish to be considered for - please be careful to complete your selections correctly.

Venue	Area	✓
Flemington		
Saturdays including Public Holidays & Turnbull Stakes Day	Rails	<input type="checkbox"/>
	Paddock	<input type="checkbox"/>
	Saintly Place	<input type="checkbox"/>
	Hill Stand	<input type="checkbox"/>
	Forum	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Melbourne Cup Carnival (Derby, Cup, Oaks & Stakes)	Rails	<input type="checkbox"/>
	Paddock	<input type="checkbox"/>
	Saintly Place	<input type="checkbox"/>
	Hill Stand	<input type="checkbox"/>
	Forum	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>

Venue	Area	✓
Caulfield		
Standard Meetings (Saturdays including Public Holidays)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Caulfield Carnival (Guineas, Blue Sapphire & Cup)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Semi feature meetings (Underwood Stakes, Orr Stakes and Blue Diamond)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>

Venue		Area	✓
Sandown			
Saturdays including Public Holidays	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Midweek	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Sandown Classic Day	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Ring Inside		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Mornington (Metropolitan meetings)			
Saturday and Midweek (excluding Mornington Cup)	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Moonee Valley			
Saturdays including Public Holidays	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Night meetings	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Cox Plate Carnival (Manikato Stakes Friday night & Cox Plate Saturday)	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Bendigo (Golden Mile Raceday)			
	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Country Racecourses		✓
<p>Note: Bookmakers granted a Club Bookmaker's Licence in respect of country racecourses must lodge monthly applications to field at a particular race meeting.</p> <p>A \$25 administration fee may be incurred when a bookmaker advises Wagering Services of his/her intention not to field more than two (2) full business days prior to the race meeting.</p>		<input type="checkbox"/>

Off-Course Premises Application	Location <i>(Note: if you do not have existing approval to operate from an off-course premises, please download and complete the relevant form from www.racingvictoria.net.au)</i>	✓
	Address: _____ _____ _____	<input type="checkbox"/>

SECTION 4: MODES OF BETTING

**Note: all bookmakers are approved to accept bets on thoroughbred racing events that are to be decided less than 35 days after the day on which the bet is placed. To be approved for other modes of betting, please select from the list below.*

Mode		✓
Sports Betting	Non-Future Sports Betting <i>(Sports Bets where the result is to be decided less than 35 days after the day on which the bet is placed)</i>	<input type="checkbox"/>
	Future and Non-Future Sports Betting <i>(Sports bets which includes Bets where the result is to be decided 35 days or more after the day on which the bet is placed)</i>	<input type="checkbox"/>
Racing	Future Win / Place <i>(Bets on a horse or greyhound where the result is to be decided 35 days or more after the day on which the bet is placed)</i>	<input type="checkbox"/>
	Future Double <i>(Bets on a horse or greyhound in two specified races, providing at least one of the races is decided at a race meeting conducted on a day after the day the bet is placed)</i>	<input type="checkbox"/>
Tote Odds	Request for permission under LR 8A (3) of the Rules of Race Betting 12.3 to offer Totalisator Odds.	<input type="checkbox"/>

SECTION 5: BETTING SYSTEMS

Type of Betting	✓	System and Version	
Computerised Betting	<input type="checkbox"/>	Racebet 2010: Current Approved Version (or higher)	
	<input type="checkbox"/>	Multi-Bet: Current Approved Version (or higher)	
	<input type="checkbox"/>	Panther: Current Approved Version (or higher)	
Internet Betting <i>Please note: If applying for Betfair or Best Bookies, please ensure you have provided an account number.</i>	<input type="checkbox"/>	Betfair	Account Number:
	<input type="checkbox"/>	Best Bookies	Account Number:
	<input type="checkbox"/>	Generation Web	
Telephone Betting	<input type="checkbox"/>	Escape Net Telephone Betting System	

Bookmakers are required to ensure they are compliant with the Current Approved Version for their Computerised Betting Systems as specified by Racing Victoria.

SECTION 6: COLLECTION STATEMENT

RV is the body responsible for and carries out the following functions, amongst others, in relation to the Victorian thoroughbred racing industry:

- determining and issuing bookmakers' club licences;
- supervising bookmakers;
- monitoring bookmakers and betting transactions;
- ensuring the integrity and probity of bookmaking businesses; and
- ensuring the efficient operation of bookmaking services.

Rules made under the *Racing Act 1958* require RV to collect information about bookmakers and betting transactions. In the course of performing its functions, RV will seek personal and financial information about you and your bookmaking business, directly from you and from others for the purpose of:

- making determinations in relation to your licence application, renewal or continuance; and
- meeting its supervisory responsibilities in relation to you as a bookmaker.

The personal and financial information collected by RV will be kept on a confidential basis but may be disclosed to third parties in connection with RV's supervisory and management functions and in the interests of the integrity and probity of the racing industry. For example, personal information may be collected from or disclosed to, amongst others:

- enforcement bodies (as defined in section 6 of the *Privacy Act 1988* (Cth));
- State Government licensing authorities (for example, the Victorian Commission for Gambling and Liquor Regulation);
- racing control bodies in the States and Territories of Australia;
- racing clubs; and
- The Victorian Bookmakers' Association Ltd.

Should you decline to provide personal and financial information to RV when requested by RV as part of its functions, RV may refuse to grant or renew a licence or may revoke or suspend your licence.

You may make a request to RV to gain access to your personal information held by RV. A fee may apply. Requests are to be made in writing, to RV's Privacy Officer:

Privacy Officer
Racing Victoria
400 Epsom Road
Flemington, Victoria, 3031
privacyofficer@racingvictoria.net.au

This Collection Statement should be read in conjunction with the RV Privacy Statement, as amended from time to time and published on the "About RVL" page of RV's website - <https://www.racingvictoria.com.au/privacy-policy>.