



**Racing
Victoria**

Racing Victoria
Wagering Services
400 Epsom Road
Flemington Vic 3031
Email: b.admin@racingvictoria.net.au

BOOKMAKER'S ANNUAL FINANCIAL STATEMENT 2022

This Financial Statement must be submitted to Racing Victoria together with the Bookmaker's Application for a Club Bookmaker's Licence ('Application Form') in accordance with the instructions set out on that Application Form.

CONFIDENTIAL

IMPORTANT: THIS STATEMENT MUST BE COMPLETED AND CERTIFIED BY A CERTIFIED PRACTISING ACCOUNTANT OR CHARTERED ACCOUNTANT.

PLEASE USE BLOCK LETTERS WHEN COMPLETING STATEMENT

NAME OF BOOKMAKER: Registration Number.....

RESIDENTIAL ADDRESS:
.....

.....POSTCODE.....

POSTAL ADDRESS (IF SAME AS ABOVE WRITE 'AS ABOVE')

..... POSTCODE.....

Date of Birth/...../.....

Telephone Numbers:

Home ()..... Work ()..... Mobile ()..... Email

What is your bookmaking trading name (if any)?.....

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<i>Version 1.1</i>	<i>08/06/2022</i>	



GROSS ASSETS \$

Please do not include the following kinds of assets: the guarantee to satisfy section 94A of the *Racing Act 1958* (Vic), trust accounts (other than those referred to in this form), motor vehicles, furniture, or any other intangible depreciable and disposable asset.

1. Account Balances:

(Incl. bookmaking account, savings accounts, investment accounts, term deposits, cash management accounts, WSP betting account balances etc). Please include cash held in a bank and any cash equivalent held in the applicant's name for at least (6) months prior to the date recorded on the Application Form.

<u>Account description (i.e., savings account, term deposit)</u>	<u>Financial Institution</u>	<u>Branch</u>	<u>A/C No</u>	<u>Type of Account (Individual/Joint)</u>	<u>Ownership (%)</u>	<u>Date of Last Transaction</u>	<u>Current Balance</u>	<u>Accountant activity (eg. sighted bank statement)</u>	<u>Signature of Accountant</u>

SUB TOTAL:

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2. Investments:

(Incl. bonds, shares, debentures, superannuation (where legally able to immediately access the benefits), life insurance, unit trusts, and other marketable securities)

<u>Type / Name</u>	<u>Company/Other Investment details</u>	<u>Quantity</u>	<u>Date of Valuation</u>	<u>Type of ownership (Individual / Joint)</u>	<u>Ownership (%)</u>	<u>Market Value (e.g., share/unit price)</u>	<u>Accountant activity (eg. sighted share certificate and verified value)</u>	<u>Signature of Accountant</u>

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3. Real Property:
(Incl. residence and any investment properties)

<u>Property Description</u> (e.g., house, unit, land)	<u>Address</u>	<u>Date of Valuation</u>	<u>Method of Valuation</u>	<u>Is property Jointly Owned Y/N</u>	<u>% of Bookmakers Ownership</u>	<u>Full Value of Property</u>	<u>Value of Bookmakers Portion</u>	<u>Encumbrances</u> (eg mortgage, covenant, guarantee include \$ value under part 6)	<u>Accountant activity</u> (eg. sighted certificate of title, rates notice)	<u>Signature of Accountant</u>

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4. Other Business Ventures

(Incl. family trusts and other business ventures)

<u>Name of Company / Venture</u>	<u>% of Your Holding</u>	<u>Total Assets</u>	<u>Total Liabilities</u>	<u>Net Assets</u>	<u>Last Date accounts audited</u>	<u>Accountant activity (eg. sighted audit report)</u>	<u>Signature of Accountant</u>

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5. Other Assets

(Incl. any other assets not described in parts 1 through 4)

<u>Description of Assets</u>	<u>Ownership (%)</u>	<u>Date of Valuation</u>	<u>Method of Valuation</u>	<u>Total Market Value</u>	<u>Market value of bookmakers %</u>	<u>Accountant activity (eg. sighted valuation)</u>	<u>Signature of Accountant</u>

SUB TOTAL:

TOTAL ASSETS:.....

N.B. Please include a supporting balance sheet if you have referred to an interest or interests in a Company, Partnership or a Family Trust. In evaluating your financial position, the Racing Victoria Licensing Committee (**Committee**) will not necessarily be including superannuation as a relevant asset so that need not be included unless significant and with immediate access (as noted in Part 2).

N.B. If space insufficient, please attach additional documentation

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GROSS LIABILITIES \$

6. Loans (Business or Personal Nature):

(incl. overdraft(s), mortgage(s) and any other loan)

<u>Financial Institutions/Persons</u>	<u>Loan security (e.g., property address)</u>	<u>Credit type (e.g., personal or business)</u>	<u>Ownership amount (% and/or jointly and severally held)</u>	<u>Total Loan Amount (\$)</u>	<u>Outstanding Amount (\$)</u>	<u>Repayment & Frequency</u>	<u>Accountant activity (eg. sighted loan documentation, confirmed balance)</u>	<u>Signature of Accountant</u>

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7. Credit Cards, Store Cards, Unsecured Utilities:

<u>Name of lender</u> <u>(e.g., bank)</u>	<u>Credit type (e.g.,</u> <u>card)</u>	<u>Ownership (%)</u>	<u>Credit limit (\$)</u>	<u>Repayment &</u> <u>Frequency</u>	<u>Outstanding</u> <u>Amount (\$)</u>	<u>Accountant</u> <u>activity (eg.</u> <u>sighted loan</u> <u>documentation,</u> <u>confirmed</u> <u>balance)</u>	<u>Signature of</u> <u>Accountant</u>

SUB TOTAL:

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8. Creditors (both business and personal nature):

<u>Description</u>	<u>Type (e.g., personal or business)</u>	<u>Amount (\$)</u>	<u>Accountant activity (eg. sighted documentation)</u>	<u>Signature of Accountant</u>

SUB TOTAL.....

9. Taxation Liabilities:

(incl. amounts outstanding with Commonwealth & State Government agencies, e.g., Australian Taxation Office (income tax, GST), State Revenue Office (State taxes), etc.)

<u>Name of Agency / Authority (e.g. ATO)</u>	<u>Debt kind (e.g., PAYG Tax debt, income tax debt etc.)</u>	<u>Due Date</u>	<u>Total Debt Amount (\$)</u>	<u>Repayment & Frequency</u>	<u>Outstanding balance (\$)</u>	<u>Accountant activity (eg. sighted tax statements)</u>	<u>Signature of Accountant</u>

SUB TOTAL.....

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10. Guarantees or Indemnities (Personal or Business Nature):

<u>Nature of commitment</u>	<u>In favour of (name person/entity)</u>	<u>Ownership (%)</u>	<u>Commitment expiry</u>	<u>Outstanding balance (\$)</u>	<u>Accountant activity (eg. sighted documentation)</u>	<u>Signature of Accountant</u>

SUB TOTAL:

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11. Contingent Liabilities:

(incl. court judgment/legal proceedings of which you are aware that will materially affect your financial status. Please provide details, expected amount of liabilities)

<u>Description</u>	<u>Expected Amount</u>	<u>Accountant activity (eg. sighted court documents)</u>	<u>Signature of Accountant</u>

SUB TOTAL:

TOTAL GROSS LIABILITIES:

MINIMUM NET ASSETS (EXCESS OF ASSETS OVER LIABILITIES):

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N.B. If space insufficient, please attach additional documentation

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N.B. The information (including any personal information) contained in this statement will be used by Racing Victoria in accordance with Section 6 (Privacy Collection Statement) and Section 7 (Provision of Betting Records) of the Annual Club Bookmaker's Licence Application form. A copy of this form is available here: <https://www.racingvictoria.com.au/wagering/forms-and-policies>

Declaration by Bookmaker: I hereby declare that the information supplied in this statement represents fully and completely my financial status. I also declare that I am not aware of any matters which may render the information supplied to be incomplete or inaccurate. Additionally, I am not aware of any other matters which may affect my financial status as disclosed in this statement and I undertake to inform the Committee by email to b.admin@racingvictoria.net.au immediately should any matters occur that materially alter my financial status from that set out herein.

...../...../.....
Date

.....
Signature of Bookmaker

Declaration by Certified Practising Accountant/Chartered Accountant: I have audited the statement submitted by the Bookmaker. I declare that I have made appropriate enquiry and verification of all items disclosed in the financial statement and am satisfied that the information provided in this statement represents a true and correct statement of the Bookmaker's financial status as at / / . I am aware that RV relies upon this statement in the ongoing licensing of the Bookmaker.

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.....
.....
Name & address of Certified Practising Accountant
Or Chartered Accountant
Qualifications:

.....
Signature of Certified Practising Accountant
Or Chartered Accountant

Date/...../.....

This statement, when completed, should be lodged via email to b.admin@racingvictoria.net.au or posted to the below address:

**Racing Victoria
Wagering Services
400 Epsom Road
FLEMINGTON VIC 3031**

AS NOTED ON PAGE 1, THIS STATEMENT MUST BE SUBMITTED TO RACING VICTORIA AS PER THE ABOVE INSTRUCTIONS AT THE SAME TIME AS THE SUBMISSION OF THE BOOKMAKER'S APPLICATION FOR A CLUB BOOKMAKER'S LICENCE.

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