



APPLICATION FOR A COMPANY CLUB BOOKMAKER'S LICENCE

(From 1 August 2021 to 31 July 2022)

Wagering Services
400 Epsom Road
Flemington VIC 3031, Australia
Telephone: 03 9258 4667
Email: b.admin@racingvictoria.net.au

Applications must be lodged via email to b.admin@racingvictoria.net.au or post to:

*Racing Victoria
Attention: Wagering Services
400 Epsom Road,
Flemington, VIC 3031*

APPLICATIONS INCLUDING FINANCIAL STATEMENTS AND TICKET FORMS MUST BE RECEIVED BY THE WAGERING DEPARTMENT ON OR BEFORE JUNE 26th, 2021. A FEE OF \$100 WILL APPLY TO BOOKMAKERS WHO PROVIDE THESE DOCUMENTS AFTER THIS DATE.

SECTION 1: APPLICATION DETAILS AND DECLARATION

Please select if this is a new or renewal application:

- New**
- Renewal**

We hereby apply for and on behalf of _____
(Insert name and ACN of Company)

(Company) for a Club Bookmaker's License for the period 1 August 2021 to 31 July 2022 in respect of the Venues specified in Section 3 of this Application Form.

This application is deemed to be:

- (a) a separate application by the Company to act as a bookmaker on a Racecourse; and
- (b) separate applications by each of the shareholders and directors of the Company to permit each of them to carry on the business of bookmaking on behalf of the bookmaking Company on a Racecourse as a Bookmaker.

Note: The applicant(s) must complete the financial statement enclosed with this application (Applications will only be processed upon receipt of the statement).

Please answer the following questions:

<p>Is the company or Are any shareholders Associates currently subject to under any form of disciplinary action, such as a ban or /restriction imposed by any regulator such as the Victorian Commission for Gambling & Liquor Regulation) or Racing Authority?</p>	<p>No <input type="checkbox"/> Yes <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p>
<p>Has any Associate, been subject to any investigation by a regulator or other government or controlling body, in relation to misconduct, fraud, corrupt conduct of other integrity related matter?</p>	<p>No <input type="checkbox"/> Yes <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p>
<p>Has any the company or any of its Associates, been subject to criminal charges in any jurisdiction, in the last 10 years?,</p>	<p>No <input type="checkbox"/> Yes <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p>
<p>Has the company or any of its Associates been <i>found guilty</i> of a criminal offence, in the last 10 years? (A National Police Record Certificate may be required)</p>	<p>No <input type="checkbox"/> Yes <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p>
<p>Are there any current civil or criminal proceedings (or charges) <i>pending</i> against the company or any Associates? (A National Police Record Certificate may be required)</p>	<p>No <input type="checkbox"/> Yes <input type="checkbox"/></p> <p>If Yes, please explain the circumstances:</p>
<p>Ongoing requirement to inform Racing Victoria regarding any civil or criminal proceedings (or charges) pending against any shareholder?</p> <p>After submitting this Application, Associates are required to inform the Racing Victoria Integrity Services Department of any criminal charges or civil proceedings laid or issued against any shareholder <i>within seven days of any charges or proceedings being laid or issued.</i></p> <p>Updates must be sent by email to b.admin@racingvictoria.net.au</p>	

For the purposes of an application for a Company Club Bookmaker Licence, the following key term is defined:

Associate

- (a) a person who holds or will hold any relevant financial interest, or is or will be entitled to exercise any relevant power (whether in right of the person or on behalf of any other person) in the Applicant’s business, and by virtue of that interest or power, is able or will be able to exercise a significant influence over or with respect to the management or operation of that business; or
- (b) a person who is or will be an executive officer, whether in right of the person or on behalf of any other person, of the Applicant’s business; or
- (c) if the Applicant is a natural person, a person who is a relative of the Applicant.

The terms “executive officer”, “relative”, “relevant financial interest” and “relevant power” have the following meanings:

executive officer, in relation to an incorporated entity, means— (a) a director, secretary or other officer (by whatever name called); or (b) any other person who is concerned with, or takes part in, the management of the entity, whether or not the person’s position is given the name of executive officer;

relative, in relation to a person, means— (a) the spouse or domestic partner of the person; (b) the mother or father of the person; or (c) a son or daughter of that person who has attained the age of 18 years;

relevant financial interest, in relation to the Applicant, means— (a) any share in the capital of the Applicant; or (b) any entitlement to receive any income derived from the Applicant’s business; or (c) any entitlement to receive any payment as a result of money advanced; and

relevant power means any power, whether exercisable by voting or otherwise and whether exercisable alone or in association with others— (a) to participate in any directorial, managerial, or executive decision; or (b) to elect or appoint any person as an executive officer.

Where an Applicant or its ultimate parent company is listed on the Australian Securities Exchange or another similar exchange, the term “relevant financial interest” will not, unless Racing Victoria determines otherwise, apply to any shareholder of the Applicant or its ultimate parent company (as applicable), or any other person, unless the relevant financial interest is such that it is equivalent to a 10% or greater shareholding in the capital of the Applicant or its ultimate parent company (as applicable).

Company Information

Name of Company:			
ACN:			
Registered Address:		Post Code:	
Phone:		Fax:	
Email:		Mobile	

1. Is the Company an approved company under Part 5A of the <i>Gambling Regulations Act 2003 (Vic)</i> ? If yes, please attach a copy of the Victorian Commission for Gambling & Liquor Regulations approval.	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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2. Is the approval under Part 5A of the <i>Gambling Regulations Act 2003 (Vic)</i> valid for the whole of the Club Bookmakers Licence period?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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3. Are any of the shareholders and directors in the Company registered bookmakers who have been approved by the Victorian Commission for Gambling & Liquor Regulation to conduct the bookmaking business of the company?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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If the answer to question 3 was 'yes', please list the names and registration numbers of the registered bookmakers:

A copy of the Company's constitution and shareholders agreement (if there is a shareholders agreement) **must** be submitted with this application.

DETAILS OF EACH SHAREHOLDER – please complete the attached Schedule A.

DETAILS OF EACH COMPANY DIRECTOR

Please provide the following information for each director, you may attach additional pages if insufficient space provided within this application.

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

SECTION 2: ALLOCATION DURATION

All Licences are for a 12-month period. Where a bookmaker receives an Area Allocation/s at a Metropolitan racecourse, that bookmaker must meet the minimum works requirement of 33% of all meetings. Please also note that meeting the minimum 33% requirement will not guarantee a stand during the next licence period.

SECTION 3: VENUES

Stand allocations will be based on your selections on this application and allocations will be made in accordance with Racing Victoria's Victorian Bookmakers' Policy Guidelines. Tick each venue you wish to be considered for - please be careful to complete your selections correctly.

Venue	Area	✓
Flemington		
Saturdays including Public Holidays & Turnbull Stakes Day	Rails	<input type="checkbox"/>
	Paddock	<input type="checkbox"/>
	Saintly Place	<input type="checkbox"/>
	Hill Stand	<input type="checkbox"/>
	Forum	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Melbourne Cup Carnival (Derby, Cup, Oaks & Stakes)	Rails	<input type="checkbox"/>
	Paddock	<input type="checkbox"/>
	Saintly Place	<input type="checkbox"/>
	Hill Stand	<input type="checkbox"/>
	Forum	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>

Venue	Area	✓
Caulfield		
Standard Meetings (Saturdays including Public Holidays)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Caulfield Carnival (Guineas, Blue Sapphire & Cup)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>
Semi feature meetings (Underwood Stakes, Orr Stakes and Blue Diamond)	Rails	<input type="checkbox"/>
	Concourse	<input type="checkbox"/>
	Promenade	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>

Venue		Area	✓
Sandown			
Saturdays including Public Holidays	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Midweek	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Sandown Classic Day	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Ring Inside		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Mornington (Metropolitan meetings)			
Saturday and Midweek (excluding Mornington Cup)	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Moonee Valley			
Saturdays including Public Holidays	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Night meetings	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>
Cox Plate Carnival (Manikato Stakes Friday night & Cox Plate Saturday)	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Market Place		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Venue		Area	✓
Bendigo (Golden Mile Raceday)			
	Rails		<input type="checkbox"/>
	Paddock		<input type="checkbox"/>
	Other (please specify)		<input type="checkbox"/>

Country Racecourses ✓	
<p>Note: Bookmakers granted a Club Bookmaker's Licence in respect of country racecourses must lodge monthly applications to field at a particular race meeting.</p> <p>A \$25 administration fee may be incurred when a bookmaker advises Wagering Services of his/her intention not to field more than two (2) full business days prior to the race meeting.</p>	<input type="checkbox"/>

Location ✓	
Off-Course Premises Application	<p style="text-align: center;"><i>(Note: if you do not have existing approval to operate from an off-course premises, please download and complete the relevant form from www.racingvictoria.net.au)</i></p>
	<p>Address: _____</p> <p>_____</p> <p>_____</p>
	<input type="checkbox"/>

SECTION 4: MODES OF BETTING

*Note: all bookmakers are approved to accept bets on thoroughbred racing events that are to be decided less than 35 days after the day on which the bet is placed. To be approved for other modes of betting, please select from the list below.

Mode		✓
Sports Betting	Non Future Sports Betting (Sports Bets where the result is to be decided less than 35 days after the day on which the bet is placed)	<input type="checkbox"/>
	Future and Non Future Sports Betting (Sports bets which includes Bets where the result is to be decided 35 days or more after the day on which the bet is placed)	<input type="checkbox"/>
Racing	Future Win / Place (Bets on a horse or greyhound where the result is to be decided 35 days or more after the day on which the bet is placed)	<input type="checkbox"/>
	Future Double (Bets on a horse or greyhound in two specified races, providing at least one of the races is decided at a race meeting conducted on a day after the day the bet is placed)	<input type="checkbox"/>
Tote Odds	Request for permission under LR 8A(3) of the Rules of Race Betting 12.3 to offer Totalisator Odds.	<input type="checkbox"/>

SECTION 5: BETTING SYSTEMS

Type of Betting	✓	System and Version	
Computerised Betting	<input type="checkbox"/>	Racebet 2010: Current Approved Version (or higher)	
	<input type="checkbox"/>	Multi-Bet: Current Approved Version (or higher)	
	<input type="checkbox"/>	Panther: Current Approved Version (or higher)	
Internet Betting <i>Please note: If applying for Betfair or Best Bookies, please ensure you have provided an account number.</i>	<input type="checkbox"/>	Betfair	Account Number:
	<input type="checkbox"/>	Best Bookies	Account Number:
	<input type="checkbox"/>	OM Apps (BetMakers)	
	<input type="checkbox"/>	Generation Web	
Telephone Betting	<input type="checkbox"/>	Escape Net Telephone Betting System	

Bookmakers are required to ensure they are compliant with the Current Approved Version for their Computerised Betting Systems as specified by Racing Victoria.

SECTION 6: PRIVACY COLLECTION STATEMENT

Racing Victoria Limited (ACN 096 917 930) (**RV**) is the Principal Racing Authority governing thoroughbred racing in Victoria, and carries out the following functions in respect of bookmakers it licenses:

- determining and issuing bookmakers' club licences;
- regulating bookmakers including monitoring compliance with licence conditions;
- monitoring bookmakers and betting transactions;
- ensuring the integrity and probity of bookmaking businesses; and
- ensuring the efficient operation of bookmaking services.

RV is collecting your personal information on this form, including your name, contact details and financial information. We may also be collecting personal information about you which may be considered sensitive or protected under applicable laws (such information may include your criminal record (including pending civil or criminal proceedings or charges)) that you may provide in this form or under your ongoing disclosure obligations.

The purpose of collecting your personal information is for our legitimate interests, including as required pursuant to the Rules of Racing and Bookmaker Rules made under the *Racing Act 1958* (Vic), which require RV to collect information about bookmakers and betting transactions. Further, the collection of your personal information allows RV to perform its regulatory functions, including to

- make determinations in relation to your licence application, renewal or continuance; and
- meet its regulatory responsibilities in relation to you as a bookmaker.

If your personal information is not collected, either on this form or otherwise when requested by RV as part of its licensing and regulatory activities, we may not be able to process your application (and therefore refuse to grant your application or renew your licence, as the case may be) or may revoke or suspend your licence.

RV may also obtain personal (including sensitive) information about you from, or disclose your personal information to, third parties such as:

- entities set out in section 91E of the *Racing Act 1958* (Vic);
- enforcement bodies (as defined in section 6 of the *Privacy Act 1988* (Cth));
- Federal or state government authorities (for example, the Victorian Commission for Gambling and Liquor Regulation);
- racing control bodies (such as other PRA's and their equivalents in Greyhound and Harness) in the States and Territories of Australia and overseas;
- racing clubs;
- approved system providers, contractors and/or other service providers;
- wagering service providers; and
- the Victorian Bookmakers' Association Ltd.

Other than where described in this Privacy Collection Statement, RV's Privacy Policy or required by law, RV will keep your personal (including sensitive) information confidential.

We otherwise use, disclose and handle your personal information in accordance with our Privacy Policy available at <https://www.racingvictoria.com.au/privacy-policy>. If you are concerned about a possible interference with your privacy, please contact RV's Privacy Officer at privacyofficer@racingvictoria.net.au. Privacy complaints to RV must be made in writing.

SECTION 7: PROVISION OF BETTING RECORDS

In order for RV to fulfil the Functions and Purpose described in Section 6 of this form, Rule 13.11.2 of the Club Bookmaker's License Rules 2010 (**Club Bookmakers License Rules**), a bookmaker must provide as directed by RV, all information, records or documents required by RV to verify the bookmaker's obligations under and compliance with the Bookmaker Rules and Rules of Racing made under the *Racing Act 1958 (Vic)* and any relevant law, including without limitation; information regarding bets made by the bookmaker (**Betting Records**). Such Betting Records are to be provided in the form and manner as may be required by the Authorised Officer (as defined in the Club Bookmaker's License Rules).

In addition to ensuring compliance with the Club Bookmakers License Rules, Rules of Racing, the Rules of Race Betting and the Bookmaker Rules (as amended from time to time) and any relevant law, this information may be used for reporting purposes.

RV may disclose Betting Records to third parties such as:

- entities set out in section 91E of the *Racing Act 1958 (Vic)*;
- enforcement bodies (as defined in section 6 of the *Privacy Act 1988 (Cth)*);
- Federal or state government authorities (for example, the Victorian Commission for Gambling and Liquor Regulation);
- racing control bodies (such as other PRA's and their equivalents in Greyhound and Harness) in the States and Territories of Australia and overseas;
- racing clubs;
- approved system providers, contractors and/or other service providers;
- wagering service providers; and
- the Victorian Bookmakers' Association Ltd.

SECTION 8: DECLARATIONS

In making this application, we:

- (a) declare that all particulars provided in this application (including documents submitted in support of my application) are true and correct;
- (b) acknowledge that we have read, understood and agree to be bound by the Racing Victoria Rules of Racing (**Rules of Racing**), Club Bookmakers' License Rules 2010, Bookmakers' Levy Rules 2012, Bookmakers' Telephone Betting Rules 2001, Bookmakers' Internet Betting Rules 2001 and Bookmaker Sports Betting Rules 2007 (together, the **Bookmaker Rules**), as published on the RV website, and we agree to familiarise ourselves and be bound by any amendments to those rules;
- (c) warrant that all persons whom we employ in my bookmaking business have read the Rules of Racing and the Bookmaker Rules, as published on the RV website, and:
 - (i) will assist Racing Victoria with any enquiry or direction (or other relevant matter) related to our bookmaking business;
 - (ii) Where directed or requested, will attend and give evidence in respect of any investigation or inquiry by Racing Victoria in accordance with the Rules of Racing and/or the Bookmaker Rules;
- (c) agree to be subject and bound by such rules and directions as may from time to time be made or given by the directors of Racing Victoria, the Stewards and/or betting supervisors of Racing Victoria (or any of their delegates), or any officials of any racing club registered to conduct thoroughbred racing under the Rules of Racing;

- (d) acknowledge that, when one of our Key Employees is performing the role of Approved Substitute for us, that person carries on our bookmaking business on our behalf and we are liable for the operation of that business at all times;
- (e) acknowledge that we have read and agree to be bound by the Privacy Collection Statement in Section 6 and the Provision of Betting Records in Section 7 of this Application Form;
- (f) agree to be bound by Racing Victoria policies relating to bookmakers, including the stand allocation policies, which may be amended from time to time;
- (g) agree to use an Approved Betting System (**ABS**) to record all betting activity, in accordance with Rule 13 of the Club Bookmakers' Licence Rules 2010;
- (h) agree that when using an ABS, it is our responsibility to:
 - i. conduct our own due diligence regarding the ABS provider and suitability of the ABS to our business requirements and circumstances; and
 - ii. ensure that we are satisfied with the commercial terms offered by the ABS provider – including the level of insurance cover held by the ABS provider - are appropriate and sufficient for our requirements and circumstances;
- (i) agree to have all outstanding payments owed to Racing Victoria (including any administrative charges, levy fees or fines) settled by the commencement of the new license period on 1 August 2018;
- (j) agree to ensure all required end of day files are transferred to Racing Victoria at the conclusion of each day of operation;
- (k) declare that we are not financially or in any other way interested in illegal betting whatsoever, and we undertake that we will not engage in any such business while we hold a Club Bookmaker's Licence issued by Racing Victoria;
- (l) acknowledge and confirm that, pursuant to the requirements of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) ('**AML/CTF Act**'), we are enrolled with the Australian Transaction Reports and Analysis Centre ('**AUSTRAC**') and warrant that we are / our business is (and will continue to be throughout the period of the Club Bookmaker's Licence) compliant with our / its obligations under the AML/CTF Act;¹
- (m) warrant that as at the date of signing this declaration and at all times during the period of my Club Bookmakers Licence neither we nor our bookmaking business is in breach or contravention of or default under or non-compliance with any statute, rule regulation or by-law whether Commonwealth or State that is related to or in connection with wagering or the activities or undertakings of operating a wagering business or the breach of which would constitute a criminal offence and neither we nor our bookmaking business has received notice that it may be in such default, contravention or breach; and
- (n) acknowledge that if this application contains any false or misleading information or conceals any material facts, our Club Bookmaker's Licence may be revoked or suspended in accordance with the Club Bookmaker's Licence Rules, and/or disciplinary action may be taken against me under the Rules of Racing.

¹ Refer to the AUSTRAC Compliance Guide (in particular chapter 4) available on the AUSTRAC website, for more information: <http://www.austrac.gov.au> Instructions on how to enrol or register your business are available on the AUSTRAC website at the following link: www.austrac.gov.au/businesses/enrolment-and-registration/enrolment-and-registration

Signed for and on behalf of:

Company Name:

*Please note: **ALL** directors must sign this application form*

Name of Director

Directors Signature

Date

Name of Director

Directors Signature

Date

Name of Director

Directors Signature

Date

Name of Director

Directors Signature

Date

Shareholders of the Bookmaker Company

SCHEDULE A

Title	Surname	Given Name	% Interest held in company	Residential Address	D.O.B	Registration Number	Signature