RACING VICTORIA LIMITED
ACN 096 917 930

Wagering Services
400 Epsom Road
Flemington VIC 3031, Australia
Telephone: 03 9258 4667
Email: b.admin@racingvictoria.net.au

APPLICATION FOR A COMPANY CLUB BOOKMAKER'S LICENCE

(From 1 August 2019 to 31 July 2020)

Applications must be lodged via email to b.admin@racingvictoria.net.au or post to:

Racing Victoria
Attention: Wagering Services
400 Epsom Road,
Flemington, VIC 3031

APPLICATIONS INCLUDING FINANCIAL STATEMENTS AND TICKET FORMS MUST BE RECEIVED BY THE WAGERING DEPARTMENT ON OR BEFORE JUNE 30th, 2019. A FEE OF $100 WILL APPLY TO BOOKMAKERS WHO PROVIDE THESE DOCUMENTS AFTER THIS DATE.

SECTION 1: APPLICATION DETAILS AND DECLARATION

We hereby apply for and on behalf of _____
(Insert name and ACN of Company)

(**Company**) for a Club Bookmaker's License for the period 1 August 2019 to 31 July 2020 in respect of the Venues specified in Section 3 of this Application Form.

This application is deemed to be:

(a) a separate application by the Company to act as a bookmaker on a Racecourse; and

(b) separate applications by each of the shareholders and directors of the Company to permit each of them to carry on the business of bookmaking on behalf of the bookmaking Company on a Racecourse as a Bookmaker.

In making this application, we:

(a) acknowledge that we have read, understood and agree to be bound by the Racing Victoria Rules of Racing (**Rules of Racing**), Club Bookmakers' License Rules 2010, Bookmakers' Levy Rules 2012, Bookmakers' Telephone Betting Rules 2001, Bookmakers' Internet Betting Rules 2001 and Bookmaker Sports Betting Rules 2007 (together, the **Bookmaker Rules**), as published on the RV website, and we agree to familiarise ourselves and be bound by any amendments to those rules;

(b) warrant that all persons whom we employ in my bookmaking business have read the Rules of Racing and the Bookmaker Rules, as published on the RV website, and:

(i) will assist Racing Victoria with any enquiry or direction (or other relevant matter) related to our bookmaking business;
(ii) Where directed or requested, will attend and give evidence in respect of any investigation or inquiry by Racing Victoria in accordance with the Rules of Racing and/or the Bookmaker Rules;

(c) agree to be subject and bound by such rules and directions as may from time to time be made or given by the directors of Racing Victoria, the Stewards and/or betting supervisors of Racing Victoria (or any of their delegates), or any officials of any racing club registered to conduct thoroughbred racing under the Rules of Racing;

(d) acknowledge that, when one of our Key Employees is performing the role of Approved Substitute for us, that person carries on our bookmaking business on our behalf and we are liable for the operation of that business at all times;

(e) acknowledge that we have read and agree to be bound by the Collection Statement in Section 6 of this Application Form;

(f) agree to be bound by Racing Victoria policies relating to bookmakers, including the stand allocation policies, which may be amended from time to time;

(g) agree to use an Approved Betting System to record all betting activity, in accordance with Rule 13 of the Club Bookmakers' Licence Rules 2010; and

(h) agree to have all outstanding payments owed to Racing Victoria (including any administrative charges, levy fees or fines) settled by the commencement of the new license period on 1 August 2018; and

(i) agree to ensure all required end of day files are transferred to Racing Victoria at the conclusion of each day of operation.

(j) acknowledge and confirm that, pursuant to the requirements of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) ('**AMLCTF Act'**), we are enrolled with the Australian Transaction Reports and Analysis Centre **('AUSTRAC')** and warrant that we are / our business is (and will continue to be throughout the period of the Club Bookmaker's Licence) compliant with our / its obligations under the AML/CTF Act;[1] and

(k) warrant that as at the date of signing this declaration and at all times during the period of my Club Bookmakers Licence neither we nor our bookmaking business is in breach or contravention of or default under or non-compliance with any statute, rule regulation or by-law whether Commonwealth or State that is related to or in connection with wagering or the activities or undertakings of operating a wagering business or the breach of which would constitute a criminal offence and neither we nor our bookmaking business has received notice that it may be in such default, contravention or breach.

[1] Refer to the AUSTRAC Compliance Guide (in particular chapter 4) available on the AUSTRAC website, for more information: http://www.austrac.gov.au Instructions on how to enrol or register your business are available on the AUSTRAC website at the following link: www.austrac.gov.au/businesses/enrolment-and-registration/enrolment-and-registration

Note: The applicant(s) must complete the financial statement enclosed with this application (Applications will only be processed upon receipt of the statement).

Please answer the following questions:

Are any shareholders currently under any ban/restriction imposed by any Racing Authority?	No ☐ Yes ☐ If *Yes*, please explain the circumstances: .....
Has any shareholder, in the last 10 years, been *found guilty* of a criminal offence? (A National Police Record Certificate may be required)	No ☐ Yes ☐ If *Yes*, please explain the circumstances: .....
Are there any current civil or criminal proceedings (or charges) *pending* against any shareholder? (A National Police Record Certificate may be required)	No ☐ Yes ☐ If *Yes*, please explain the circumstances: .....
Ongoing requirement to inform Racing Victoria regarding any civil or criminal proceedings (or charges) pending against any shareholder? After submitting this Application, shareholders are required to inform the Racing Victoria Integrity Services Department of any criminal charges or civil proceedings laid or issued against any shareholder *within seven days of any charges or proceedings being laid or issued*. Updates must be sent by email to b.admin@racingvictoria.net.au	

We declare that:

1. ***the above information, and the information provided in this Application Form, is true and correct; and***
2. ***We are not financially or in any other way interested in illegal betting whatsoever, and we undertake that we will not engage in any such business while we hold a Club Bookmaker's License issued by Racing Victoria,***

and further acknowledge that if this Application contains any false or misleading information or conceals any material facts, our Club Bookmaker's Licence may be revoked or suspended in accordance with the Club Bookmaker's Licence Rules, and/or disciplinary action may be taken against me under the Rules of Racing.

Signed for and on behalf of:

Company Name: .....

*Please note: **ALL** directors must sign this application form*

Name of Director	*Directors Signature*	*Date*
Name of Director	*Directors Signature*	*Date*
Name of Director	*Directors Signature*	*Date*
Name of Director	*Directors Signature*	*Date*

Company Information

Name of Company:			
ACN:			
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

1. Is the Company an approved company under Part 5A of the *Gambling Regulations Act 2003 (Vic)?* If yes, please attach a copy of the Victorian Commission for Gambling Regulations approval.	Yes	☐	No	☐
2. Is the approval under Part 5A of the *Gambling Regulations Act 2003 (Vic)* valid for the whole of the Club Bookmakers Licence period?	Yes	☐	No	☐
3. Are all the shareholders and directors in the Company registered bookmakers who have been approved by the Victorian Commission for Gambling Regulation to conduct the bookmaking business of the company?	Yes	☐	No	☐

If you have answered NO to any of the above questions, the Company is not eligible to apply for a Club Bookmaker's Licence and this application cannot be processed.

For new applications, a copy of the Company's constitution and shareholders agreement (if there is a shareholders agreement) **must** be submitted with this application.

DETAILS OF EACH SHAREHOLDER – please complete the attached Schedule **A.**

DETAILS OF EACH COMPANY DIRECTOR

Please provide the following information for each director, you may attach additional pages if insufficient space provided within this application.

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

Bookmakers Licence No:			
Bookmakers Full Name:		D.O.B	
Residential Address:			
		Post Code:	
Phone:		Fax:	
Email:		Mobile	

SECTION 2: ALLOCATION DURATION

All Licences are for a 12-month period. Where a bookmaker receives an Area Allocation/s at a Metropolitan racecourse, that bookmaker must meet the minimum works requirement of 33% of all meetings. Please also note that meeting the minimum 33% requirement will not guarantee a stand during the next licence period.

SECTION 3: VENUES

Stand allocations will be based on your selections on this application and allocations will be made in accordance with Racing Victoria's Victorian Bookmakers' Policy Guidelines. Tick each venue you wish to be considered for - please be careful to complete your selections correctly.

Venue	Area	✓
Flemington		
Saturdays including Public Holidays & Turnbull Stakes Day	Rails	☐
	Paddock	☐
	Saintly Place	☐
	Hill Stand	☐
	Forum	☐
	Other (please specify)	☐
Melbourne Cup Carnival (Derby, Cup, Oaks & Stakes)	Rails	☐
	Paddock	☐
	Saintly Place	☐
	Hill Stand	☐
	Forum	☐
	Other (please specify)	☐

Venue	Area	✓
Caulfield		
Standard Meetings (Saturdays including Public Holidays)	Rails	☐
	Concourse	☐
	Promenade	☐
	Other (please specify)	☐
Caulfield Carnival (Guineas, Blue Sapphire & Cup)	Rails	☐
	Concourse	☐
	Promenade	☐
	Other (please specify)	☐
Semi feature meetings (Underwood Stakes, Orr Stakes and Blue Diamond)	Rails	☐
	Concourse	☐
	Promenade	☐
	Other (please specify)	☐

Venue	Area	✓
Sandown		
Saturdays including Public Holidays	Rails	☐
	Paddock	☐
	Other (please specify)	☐
Midweek	Rails	☐
	Paddock	☐
	Other (please specify)	☐
Sandown Classic Day	Rails	☐
	Paddock	☐
	Ring Inside	☐
	Other (please specify)	☐

Venue	Area	✓
Mornington (Metropolitan meetings)		
Saturday and Midweek (excluding Mornington Cup day 21st March 2020)	Rails	☐
	Paddock	☐
	Other (please specify)	☐

Venue	Area	✓
Moonee Valley		
Saturdays including Public Holidays	Rails	☐
	Paddock	☐
	Market Place	☐
	Other (please specify)	☐
Night meetings	Rails	☐
	Paddock	☐
	Market Place	☐
	Other (please specify)	☐
Cox Plate Carnival (Manikato Stakes Friday night & Cox Plate Saturday)	Rails	☐
	Paddock	☐
	Market Place	☐
	Other (please specify)	☐

Venue	Area	✓
Bendigo (Golden Mile Raceday)		
Saturday 28th March 2020	Rails	☐
	Paddock	☐
	Other (please specify)	☐

Country Racecourses	✓
Note: Bookmakers granted a Club Bookmaker's Licence in respect of country racecourses must lodge monthly applications to field at a particular race meeting. A $25 administration fee may be incurred when a bookmaker advises Wagering Services of his/her intention not to field more than two (2) full business days prior to the race meeting.	☐

Off-Course Premises Application	Location *(Note: if you do not have existing approval to operate from an off-course premises, please download and complete the relevant form from www.racingvictoria.net.au)*	✓
	Address: _____ _____ _____	☐

SECTION 4: MODES OF BETTING

**Note: all bookmakers are approved to accept bets on thoroughbred racing events that are to be decided less than 35 days after the day on which the bet is placed. To be approved for other modes of betting, please select from the list below.*

Mode		✓
Sports Betting	**Non Future Sports Betting** *(Sports Bets where the result is to be decided less than 35 days after the day on which the bet is placed)*	☐
	Future and Non Future Sports Betting *(Sports bets which includes Bets where the result is to be decided 35 days or more after the day on which the bet is placed)*	☐
Racing	**Future Win / Place** *(Bets on a horse or greyhound where the result is to be decided 35 days or more after the day on which the bet is placed)*	☐
	Future Double *(Bets on a horse or greyhound in two specified races, providing at least one of the races is decided at a race meeting conducted on a day after the day the bet is placed)*	☐
Tote Odds	Request for permission under LR 8A(3) of the Rules of Race Betting 12.3 to offer Totalisator Odds.	☐

SECTION 5: BETTING SYSTEMS

Type of Betting	✓	System and Version		
Computerised Betting	☐	Racebet 2010: Current Approved Version (or higher)		
	☐	Multi-Bet: Current Approved Version (or higher)		
	☐	Panther: Current Approved Version (or higher)		
Internet Betting *Please note: If applying for Betfair or Best Bookies, please ensure you have provided an account number.*	☐	Betfair	Account Number:	
	☐	Best Bookies	Account Number:	
	☐	Generation Web		
Telephone Betting	☐	Escape Net Telephone Betting System		

Bookmakers are required to ensure they are compliant with the Current Approved Version for their Computerised Betting Systems as specified by Racing Victoria.

SECTION 6: COLLECTION STATEMENT

RV is the body responsible for and carries out the following functions, amongst others, in relation to the Victorian thoroughbred racing industry:

- determining and issuing bookmakers' club licences;
- supervising bookmakers;
- monitoring bookmakers and betting transactions;
- ensuring the integrity and probity of bookmaking businesses; and
- ensuring the efficient operation of bookmaking services.

Rules made under the *Racing Act 1958* require RV to collect information about bookmakers and betting transactions. In the course of performing its functions, RV will seek personal and financial information about you, directly from you and from others for the purpose of:

- making determinations in relation to your licence application, renewal or continuance; and
- meeting its supervisory responsibilities in relation to you as a bookmaker.

The personal and financial information collected by RV will be kept on a confidential basis, but may be disclosed to third parties in connection with RV's supervisory and management functions and in the interests of the integrity and probity of the racing industry. For example, personal information may be collected from or disclosed to, amongst others:

- enforcement bodies (as defined in section 6 of the *Privacy Act* 1988 (Cth));
- State Government licensing authorities (for example, the Victorian Commission for Gambling Regulation);
- racing control bodies in the States and Territories of Australia;
- racing clubs; and
- The Victorian Bookmakers' Association Ltd.

Should you decline to provide personal and financial information to RV when requested by RV as part of its functions, RV may refuse to grant or renew a licence or may revoke or suspend your licence.

You may make a request to RV to gain access to your personal information held by RV. A fee may apply. Requests are to be made in writing, to RV's Privacy Officer:

Privacy Officer
Racing Victoria
400 Epsom Road
Flemington, Victoria, 3031
privacyofficer@racingvictoria.net.au

This Collection Statement should be read in conjunction with the RV Privacy Statement, as amended from time to time and published on the "About RVL" page of RV's website - http://www.rv.racing.com/about/privacy-policy

Shareholders of the Bookmaker Company

SCHEDULE A

Title	Surname	Given Name	% Interest held in company	Residential Address	D.O.B	Registration Number	Signature