



SCHEDULE 1

Regulation 5

APPLICATION TO PUBLISH OR USE VICTORIAN RACE FIELDS

I apply for approval to publish and use the following race fields information:

- Victorian horse racing race fields

DETAILS OF APPLICANT

Name of Applicant:	
Address of Applicant:	
Applicant's contact details:	
State, Territory or Country where Applicant is licensed to operate:	
Trading names used by Applicant:	
Applicant's ACN or ABN:	

ADDITIONAL INFORMATION - *required in accordance with the Gambling Regulation Act (Vic) 2003*

As per attachment.

CERTIFICATION

Icertify that I am authorised to make the application on behalf

ofand that the information provided is true and correct.

Signature of authorised representative

Name of authorised representative

DATE: / /

Please complete, sign and return this form to Racing Victoria:

Post: Race Fields Administration, Racing Victoria Ltd, 400 Epsom Rd, Flemington, VIC, 3031

Email: racefields@racingvictoria.net.au Fax: 03 9258 4848

ATTACHMENT TO APPLICATION TO PUBLISH AND USE VICTORIAN
THOROUGHBRED RACE FIELDS

Please provide the following additional information for your application.

Date: ____ / ____ / ____

Application by (“Applicant”)
for approval to publish and use Victorian thoroughbred race fields

OVERALL CONSIDERATIONS

1.	The Applicant should provide the following details:	
(a)	A description of the Applicant’s business and the place(s) where the Applicant conducts its gambling operations.	
(b)	A description of the organisational structure of the Applicant, including major shareholders, Directors and senior office holders.	
2.	Provide details of how the Applicant currently, or intends to:	
	Publish and use Victorian thoroughbred race fields e.g. via website (give details), via telephone to customers etc.	
	Obtain the details of Victorian thoroughbred race fields	

INTEGRITY CONSIDERATIONS

3.	Is the Applicant the holder of a wagering or bookmakers licence? If so please provide details of your licence.	
4.	Where the Applicant holds a licence, please provide details of the relevant jurisdiction's regulatory arrangements including the supervision of applicable laws of the Applicant's gambling operations.	
5.	Is the Applicant prepared to be subject to the Australian Rules of Racing and to take all reasonable steps to prevent use of their wagering services in breach of the Australian Rules of Racing?	
6.	We request a summary of any current or past regulatory body investigations made by racing authorities or governmental authorities into the conduct of the Applicant or the Applicant's customers for the period of the last 5 years or since it commenced wagering on Victorian thoroughbred racing (whichever is less).	
7.	Has the applicant or its associates (in the case of a corporation, its directors and officers) been convicted of any criminal offence in the last 10 years? If so, please provide details.	
8.	For betting on Victorian thoroughbred racing, please provide details of:	
(a)	Betting channels used (e.g. face-to-face, phone, internet, other);	
(b)	Bet types offered (i.e. win, place, trifecta etc.);	
(c)	Bet types that allow profit from the poor performance of a horse (e.g. "lay" bets, field versus favourite, head to head, spread betting). If offering these bet types, please provide details of any regulatory controls and integrity management measures the Applicant uses for these bet types.	

(d)	Please provide details of any regulatory controls and integrity management measures the Applicant uses for the bet types referred to in (b) and (c) above, for the purposes of detecting any suspect betting transactions and breaches of any applicable laws or Rules of Racing.	
9.	Is the Applicant prepared to introduce a protocol whereby the Applicant undertakes to report any reasonable suspicion of improper activity regarding betting on Victorian racing to RV?	
10.	What processes does the Applicant have in place (such as know your customer procedures) to verify the identity of account customers and compliance with anti-money laundering requirements?	
11.	Does the Applicant record all bets made by the Applicant including bets-back and cancelled bets?	
(a)	Does the Applicant agree to provide information of betting transactions on Victorian thoroughbred racing to RV on request, including the personal details of account holders? Please provide information regarding any privacy requirements.	
(b)	Please provide information regarding any privacy requirements in relation to the provision of information referred to in (a) above	
(c)	How does the Applicant intend to provide the information to RV referred to in (a) above and in what time frame can this information be supplied?	
12.	Is the Applicant prepared to run periodic betting account checks upon the request of RV on RV licenced persons for the detection of breaches of the Rules of Racing and RV's Codes of Conduct? (RV will provide a list of names to the Applicant)	
13.	Does the Applicant agree to use its best endeavours to ensure that a customer will participate in, or cooperate with, RV Stewards inquiries or investigations properly conducted under the Rules of Racing?	

14.	Does the Applicant agree to consider, on a case-by-case basis, whether it is appropriate to suspend the activity of a particular customer in circumstances where that customer has unreasonably refused to cooperate with a request by RV Stewards in relation to an inquiry or investigation properly conducted under the Rules of Racing?	
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15.	<p>Does the Applicant agree that:</p> <p>(a) Where a customer is warned-off, disqualified or otherwise excluded from race-courses under the Rules of Racing, or the equivalent rules of another racing jurisdiction; and</p> <p>(b) RV has notified the Applicant of the identity of the customer</p> <p>The Applicant will, where it is reasonable to do so, take all necessary measures to prevent the said customer entering a betting transaction with the Applicant on Victorian races?</p>	
16.	For what period of time is betting data stored for each channel and will the Applicant provide RV access to this data?	
17.	Please provide detail in relation to the type of systems that will be used for telephone and internet betting including any name and version number.	
18.	Where the Applicant provides wagering services via the telephone:	
(a)	Are telephone calls recorded?	
(b)	Is access available to the recordings and for what period?	
(c)	Will the Applicant provide RV with access to telephone wagering transactions?	
19.	Please provide details of the existence of any procedures and policies to ensure compliance with Victorian law including observance of restrictions on betting related communications and transactions.	
20.	Does the Applicant adhere to a responsible wagering code of practice? Please specify:	
(a)	Please specify whether the Applicant's wagering rules are readily accessible to all customers and explain how they are accessible.	
(b)	Is the Applicant committed to a responsible wagering code of practice to include, but not limited to:	
(i)	a complaint and dispute resolution process for customers;	

	(ii)	direction and assistance for customers experiencing wagering related difficulties;	
	(iii)	self exclusion capabilities;	
	(iv)	responsible advertising;	
	(v)	accredited staff training programmes; and	
	(vi)	the procedures for identifying, preventing and discouraging minors from betting?	
21.		Please provide us with copies of your betting rules and any other internal documents that may help us understand the integrity protection associated with your wagering activity.	

ECONOMIC CONTRIBUTION

22.	<p>Please find attached RV's Economic Contribution Fee Policy.</p> <p>Does the applicant propose to pay RV in accordance with the policy? Please provide details.</p>	
23.	Please provide detail of when the Applicant commenced the publication and use of Victorian thoroughbred race fields.	
24.	Where required, does the Applicant agree to provide Racing Victoria with payments in accordance with the Economic Contribution Fee Policy for any period where the applicant was publishing or using Victorian thoroughbred race fields without approval?	

25.		
(a)	<p>Will every bet placed in every country on any horse participating in a Victorian thoroughbred race be included in the calculation of Bets Taken? Please detail any exceptions (including bets back with other operators).</p>	
(b)	<p>Does the Applicant conduct any wagering on Victorian thoroughbred races at any time that would be excluded from the calculation? Please detail any exceptions.</p>	
(c)	<p>Does the applicant allow wagering on Victorian thoroughbred racing by parties related to the applicant (including, but not limited to, on account of the applicant, the directors, subsidiary entities, or employees)?</p> <p>If so, please provide details of any arrangements where wagering on Victorian thoroughbred racing conducted by related parties could reduce the amounts payable to Victorian thoroughbred racing</p>	
26.	<p>From where does the Applicant propose to source the Victorian thoroughbred racing information that will be published by the Applicant?</p> <p>Does the Applicant currently have an agreement in place, or are in discussions, with Racing Information Services Australia Pty Ltd?</p>	
27.	<p>Is the Applicant willing to consider a direct compensation payment to RV to address any additional costs of integrity controls directly associated with its operation?</p>	

AUTHORISATION

I authorised that the information provided above is true and correct and not misleading in any particular.

Signature of authorised representative

Name of authorised representative

DATE: / /